# On-The-Go Supplement to the USB 2.0 Specification

**Revision 1.0** 

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# **Revision History**

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0.8	04/06/2001	Revisions to all sections
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1.0_rc1	10/15/2001	Minor edits to most sections Defined TB_FS_BDIS for high speed HNP.
1.0	12/18/01	Minor edits to several sections Defined RA_PLUG_ID and RB_PLUG_ID

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# 1. Introduction

### 1.1 General

USB has become a popular interface for exchanging data between PC's and peripherals. An increasing number of portable peripherals are using the USB interface to communicate with the PC. Many of these portable devices would benefit from being able to communicate to each other over the USB interface, yet certain aspects of USB make this difficult to achieve.

Specifically, USB communication can only take place between a host and a peripheral. However, in order to qualify as a PC host, a device must have several characteristics, including:

- storage for a large number of device drivers,
- the ability to source a large current, and
- a Series "A" host connector receptacle.

It is not practical for many portable devices to have all of the above characteristics, and in many cases, these characteristics are not needed in order to interface portable devices with each other.

In order to enable these limited-requirement, portable USB applications, this supplement to the USB 2.0 specification was developed that allows a portable device to take on the role of a limited USB host, without the burden of supporting all the above functions of a PC.

### 1.2 Objective of the Supplement

The objective of this supplement is to define a minimal set of changes to the USB 2.0 specification, such that portable USB applications are enabled.

This is not a stand-alone document. It is a supplement to the USB 2.0 specification. Any aspects of USB that are not specifically changed by this supplement are governed by the USB 2.0 specification.

#### 1.3 Intended Audience

This specification is targeted to developers of PC peripherals and portable consumer electronic devices.

# 2. Acronyms and Terms

This chapter lists and defines terms and abbreviations used throughout this specification.

A-Device	A device with a Standard-A or Mini-A plug inserted into its receptacle. An A-device supplies power to VBUS; is host at the start of a session; and under certain conditions as described in Section 6, the A-device will relinquish the role of host to a dual-role B-device.		
Application	A generic term referring to any software that is running on a device that can control the behavior or actions of the USB port(s) on a device.		
B-Device	A device with a Standard-B or Mini-B plug inserted into its receptacle. The B-device is a peripheral at the start of a session. If the device is dual-role, it may be granted the role of host from the A-device (see Section 6).		
Dual-role device	A device that has the following features and characteristics:		
	<ul> <li>limited Host capability</li> <li>full-speed operation as peripheral (high-speed optional)</li> <li>full-speed support as host (low-speed and high-speed optional)</li> <li>Targeted Peripheral List</li> <li>Session Request Protocol</li> <li>Host Negotiation Protocol</li> <li>one, and only one, Mini-AB receptacle</li> <li>minimum 8 mA output on VBUS</li> <li>means for communicating messages to the user</li> </ul>		
FS	Full Speed		
HS	High Speed		
Host	A physical entity that is attached to a USB cable and is acting in the role of the USB host as defined in the USB Specification, Revision 2.0. This entity initiates all data transactions and provides periodic Start of Frames (SOF's).		
HNP	Host Negotiation Protocol. (See Section 6.)		
ID	Identification. Denotes the pin on the Mini connectors that is used to differentiate a Mini-A plug (ID pin resistance to ground < $10\Omega$ ) from a Mini-B plug (ID pin resistance to ground greater than $100 \text{ k}\Omega$ ).		
ОТG	On-The-Go		
Peripheral	A physical entity that is attached to a USB cable and is currently operating as a "device" as defined in the USB Specification, Revision 2.0. The Peripheral responds to low level bus requests from the Host.		
SE0	Single Ended Zero		

Session	The period of time that VBUS is above a device's session valid threshold. For an A-device, the session valid threshold is VA_SESS_VLD, while for a B-device it is VB_SESS_VLD.
SOF	Start of Frame
SRP	Session Request Protocol
Targeted Peripheral List	A list of USB peripherals that a particular dual-role device can support when it is acting as a host.
USB	Universal Serial Bus
USB-IF	USB Implementers Forum

# 3. Significant Features

This section identifies the significant features of the OTG supplement. The purpose of this section is not to present all the technical details associated with each major feature, but rather to highlight its existence. Where appropriate, this section references other parts of the document where further details can be found.

# 3.1 USB 2.0 Specification Compliance

Any device with OTG features is first and foremost a USB peripheral that is compliant with the USB 2.0 specification.

# 3.2 Dual-role Device

In addition to being a fully compliant USB 2.0 peripheral, a dual-role device must include the following features and characteristics:

- a limited Host capability
- full-speed operation as a peripheral (high-speed optional)
- full-speed support as a host (low-speed and high-speed optional)
- Targeted Peripheral List
- Session Request Protocol
- Host Negotiation Protocol
- one, and only one, Mini-AB receptacle
- minimum 8 mA output on VBUS
- means for communicating messages to the user

# 3.3 Targeted Peripheral List

When acting as Host, a dual-role device is not required to support operation with all other types of USB peripherals. It is up to the manufacturer of each dual-role device to determine what peripherals the dual-role device will support and provide a list of those peripherals. This is the called the dual-role device's "Targeted Peripheral List".

In its most primitive form, the Targeted Peripheral List is simply a list of peripherals, where each peripheral is identified by a manufacturer, the kind of the device, and a model number.

The Targeted Peripheral List may also contain defined OTG peripheral types, such as an "OTG keyboard", or an "OTG camera", etc. In order to qualify as a member of one of these OTG peripheral types, a peripheral would need to exhibit the electrical and software characteristics defined for that OTG peripheral type.

## 3.4 No Silent Failures

Whenever the cabling allows USB devices to be connected, and the devices do not support the type of communication being requested by the user, then the devices shall provide messages to the user that allow him or her to understand the problem, and correct it if possible. Insofar as is possible, the messages shall be self explanatory, and shall not require the user to reference a manual or other additional material.

For example, if a B-device generates SRP, the A-device may try to give control to the B-device by trying to enable HNP. The B-device may not be HNP capable. The A-device may determine that the B-device is not HNP capable because the B-device is LS or because the B-device STALL's the command that enables HNP. When the A-device determines that the B-device is not HNP capable and that the B-device is not supported, the A-device is responsible for providing an informative message to the user

that the B-device is not supported. If, however, the B-device is HNP capable and it will have responsibility for informing the user if the A-device is not supported.

#### 3.5 Supplying Current on VBUS

A dual-role device must be able to source a minimum of 8 mA on VBUS when it is the A-device and a session is in progress.

#### 3.6 Session Request Protocol

The OTG supplement defines a Session Request Protocol (SRP), which allows a B-device to request the A-device to turn on VBUS and start a session. This protocol allows the A-device, which may be battery powered, to conserve power by turning VBUS off when there is no bus activity while still providing a means for the B-device to initiate bus activity.

Any A-device, including a PC or laptop, is allowed to respond to SRP. Any B-device, including a standard USB peripheral, is allowed to initiate SRP. A dual-role device is required to be able to initiate and respond to SRP.

The details of this protocol are found in Section 5.3.

#### 3.7 Host Negotiation Protocol

The Host Negotiation Protocol (HNP) allows the Host function to be transferred between two directly connected dual-role devices and eliminates the need for a user to switch the cable connections in order to allow a change in control of communications between the devices. HNP will typically be initiated in response to input from the user or an Application on the dual-role B-device. HNP may only be implemented through the Mini-AB receptacle on a device.

#### 3.8 Connectors

The USB 2.0 specification defines the following connectors:

- Standard-A plug and receptacle,
- Standard-B plug and receptacle, and
- Mini-B plug and receptacle.

The OTG supplement defines the following additional connectors:

- Mini-A plug and receptacle, and
- Mini-AB receptacle.

The Mini-A receptacle can only be used in the Mini-A receptacle to Standard-A plug adapter defined in Section 4.5.7.1. All other uses are prohibited.

#### 3.9 Compliant Cable Assemblies

The USB 2.0 specification defines the following cables:

- Standard-A plug to Standard-B plug,
- Standard-A plug to Mini-B plug, and
- Captive cable with Standard-A plug.

The OTG supplement defines the following additional cables:

• Mini-A plug to Mini-B plug,

- Mini-A plug to Standard-B plug, and
- Captive cable with Mini-A plug.

No other types of cables are allowed by either the USB specification, or by the OTG supplement. Cables are not allowed to have receptacles on either end unless they meet the mechanical and electrical requirements of adapters defined in Section 4.5.7.

# 3.10 Plug Overmolds

The USB 2.0 specification limits the maximum size of the overmold for the Mini-B plug on the Standard-A to Mini-B cable, but it does not define the shape of the overmold. The OTG supplement constrains the size and the shape of the overmolds for the Mini-A plug. This supplement also constrains the size and shape of the overmolds for the Mini-B plugs if those plugs are used on any of the new cables specified in this supplement.

The Mini-A plug's overmold has an oval theme, and the Mini-B plug's overmold has a rectangular theme. This allows easy recognition and differentiation of the two plugs by the consumer.

## 3.11 Cable Adapters

The OTG supplement defines the following cable adapters:

- Mini-A receptacle to Standard-A plug, and
- Standard-A receptacle to Mini-A plug.

The first adapter allows a captive cable device with a Mini-A plug to be connected to a standard host. The second adapter allows a captive cable device with a Standard-A plug to be connected to a dual-role device.

The physical and electrical properties of cable adapters are defined in Section 4.5.7.

#### 3.12 Hubs

Dual-role devices may support hubs. However, the signaling methods used for the Session Request Protocol and the Host Negotiation Protocol are not handled by standard USB hubs. Therefore, when an A-device is directly connected to a standard hub, the A-device is prohibited from issuing a command that would enable the downstream device to expect or initiate HNP.

## 3.13 Mandated Functionality

Any device with a Mini-AB receptacle shall meet all of the requirements and provide all the functionality of a dual-role device.

# 4. Mechanical

This chapter provides the mechanical and electrical specifications for the cables, connectors, and cable assemblies used to interconnect devices.

# 4.1 Introduction

The USB 2.0 specification defines three connector pairs:

- Standard-A plug and receptacle for the host;
- Standard-B plug and receptacle for the peripheral; and
- Mini-B plug and receptacle as alternative connectors for the peripheral.

This supplement defines the following connector components:

- Mini-A plug,
- Mini-A receptacle, and
- Mini-AB receptacle.

The Mini-AB receptacle accepts either a Mini-A plug or a Mini-B plug.

This supplement defines the use of the new connector components on cables and adapters and permits the following combinations:

- Mini-A plug to Mini-B plug cable,
- Mini-A plug to Standard-B plug cable,
- Mini-A plug to captive cable,
- Mini-A receptacle to Standard-A plug adapter, and
- Standard-A receptacle to Mini-A plug adapter.

Constraints on the design of the overmolds for the Mini-A plugs are provided in this section. Additionally, new constraints are placed on the design of the overmold for the Mini-B plugs when used on a cable that also has a Mini-A plug.

# 4.2 Mini-Connector Mating

The following table summarizes the plugs accepted by each of the receptacles.

Receptacle	Plugs Accepted
Standard-A	Standard-A
Standard-B	Standard-B
Mini-B	Mini-B
Mini-A	Mini-A
Mini-AB	Mini-A or Mini-B

Table 4-1. Plugs Accepted By Receptacles

The usage and wiring assignments of the five pins in the Mini-A plug are defined in the following table.

Contact Number	Signal Name	Typical Wiring Assignment	
1	VBUS	Red	
2	D-	White	
3	D+ Green		
4	ID	< 10 $\Omega$ to GND	
5	GND	Black	
Shell	Shield	Drain Wire	

Table 4-2.	Mini-A	Plug	Pin	Assignments
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The ID pin on a Mini-A plug shall be connected to the GND pin. The ID pin on a Mini-B plug is not connected or is connected to ground by a resistance of greater than RB\_PLUG\_ID. A dual-role device is required to be able to detect whether a Mini-A or Mini-B plug is inserted by determining if the ID pin resistance to ground is less than RA\_PLUG\_ID or if the resistance to ground is greater than RB\_PLUG\_ID. Any ID resistance less than RA\_PLUG\_ID shall be treated as ID = FALSE and any resistance greater than RB\_PLUG\_ID shall be treated as ID = TRUE.

## 4.3 Color Coding

The following colors are mandated for the plastic inside the Mini connectors defined in this supplement.

Connector	Color
Mini-A receptacle	White
Mini-A plug	White
Mini-B receptacle	Black
Mini-B plug	Black
Mini-AB receptacle	Gray

Table 4-3. Color Coding for Plugs and Receptacles

#### 4.4 Device, Cable and Adapter Delays

In Figure 7-11 of the USB 2.0 specification, four test planes are defined along the transmission path from the host transceivers to the peripheral transceivers. These test planes (TP) are as follows:

- TP1: pins of host transceiver chip
- TP2: contact points of host Standard-A receptacle
- TP3: contact points of peripheral Standard-B or Mini-B receptacle
- TP4: pins of peripheral transceiver chip

Section 7.1.16 of the USB 2.0 specification states that the maximum allowed delays between these test planes are:

- TP1 to TP2: 3 ns
- TP2 to TP3: 26 ns
- TP3 to TP4: 1 ns

Since the OTG supplement allows the addition of an adapter to a cable, the delays between the test planes for dual-role devices needs to be modified so that the maximum total delay between TP1 and TP4 is 30 ns. The limits are as follows:

•	Dual-role device - TP1 to TP2:	1 ns

- Adapter: 1 ns
- Any cable with a Mini-A plug: 25 ns

The maximum delays for the two worst cases of connection are shown in the following tables.

Location	Delay Time
USB 2.0 Compliant Host – TP1 to TP2	3 ns
Standard-A plug to Mini-A receptacle adapter	1 ns
Mini-A plug to Mini-B plug cable	25 ns
USB 2.0 Compliant B-device – TP3-TP4	1 ns
Total	30 ns

#### Table 4-4. Maximum Delay for Mini-Connector and Cable

#### Table 4-5. Maximum Delay for Standard Connector Cable

Location	Delay Time
On-The-Go Compliant Dual-Role Device – TP1 to TP2	1 ns
Mini-A plug to Standard-A receptacle adapter	1 ns
Standard-A plug to Standard-B plug cable	26 ns
USB 2.0 Compliant B-device – TP3 to TP4	1 ns
Total	29 ns

#### 4.5 Compliant Usage of Connectors and Cables

Cable assemblies and connectors not described below or not allowed by other amendments to the USB specification are not compliant with the USB specification and may not be labeled as such.

#### 4.5.1 Cables

The new cables allowed by the OTG supplement are shown in Figure 4-1, Figure 4-2 and Figure 4-3. A cable with a Mini-A plug must have a propagation delay of 25 ns or less, have a physical length of no more than 4.5 meters, and meet all other requirements of a USB cable.

#### 4.5.2 Overmolds

The size and shape of the Mini-A plug overmold must conform to the constraints shown in Figure 4-4.

The size of a Mini-B plug used on a Standard-A to Mini-B cable must conform to the constraints shown in Figure 6-10 in the Engineering Change Notice #1 of the USB Specification, version 2.0.

The size and shape of a Mini-B plug used in any other cable or adapter assembly must conform to the constraints shown in Figure 4-5.

It is recommended that all new designs for the Mini-B plug overmold follow the constraints in Figure 4-5.

#### 4.5.3 Mechanical Interfaces

The mechanical interfaces for the Mini-A plug, Mini-A receptacle and Mini-AB receptacle are shown in Figure 4-6, Figure 4-7, Figure 4-8 and Figure 4-9.

#### 4.5.4 Receptacle Reference Designs

Figure 4-10 and Figure 4-11 show reference designs for the Mini-A and Mini-AB receptacles respectively. By following these recommendations, receptacles from different manufacturers can be used interchangeably on the same printed circuit boards.

#### 4.5.5 Connector Keying

This Mini connector series has been designed so as to prevent the Mini-A and Mini-B plugs from being incorrectly inserted into a receptacle. The amount of metal blocking various possible incorrect insertions is shown in Figure 4-12 and Figure 4-13, and is always greater than 0.35 mm.

#### 4.5.6 Right Angle Plugs

The overmolds for right angle plugs are required to comply with the same shape constraints that apply to straight plugs. Reference drawings for right angle plugs are shown in Figure 4-14, Figure 4-15, Figure 4-16 and Figure 4-17.

#### 4.5.7 Adapters

The following adapters are permitted; all others are prohibited.

The propagation delay of the adapter shall be less than 1 ns. The physical length shall not exceed 150 mm. The resistance of the adapter through V<sub>BUS</sub> and GND, including contacts, shall not exceed 70 m $\Omega$ .

The only compliant adapters are:

- Standard-A receptacle to Mini-A plug, and
- Mini-A receptacle to Standard-A plug.

The reasons for not allowing a Series-B adapter include:

- all legal connection topologies can be achieved with the defined cables and adapters, and
- a Series-B adapter would make it possible to exceed the maximum TP1 to TP4 delay of 30 ns.

#### 4.5.7.1 Mini-A receptacle to Standard-A plug

This adapter is used to connect a cable with a Mini-A plug to a USB host or hub with a Standard-A receptacle. A reference drawing for this adapter is shown in Figure 4-18.

#### 4.5.7.2 Standard-A receptacle to Mini-A plug

This adapter is used to connect a cable with a Standard-A plug to a dual-role device that has a Mini-AB receptacle. A reference drawing for this adapter is shown in Figure 4-19.

# 4.6 Drawings

This section contains the mechanical drawings that are referenced in the previous section.

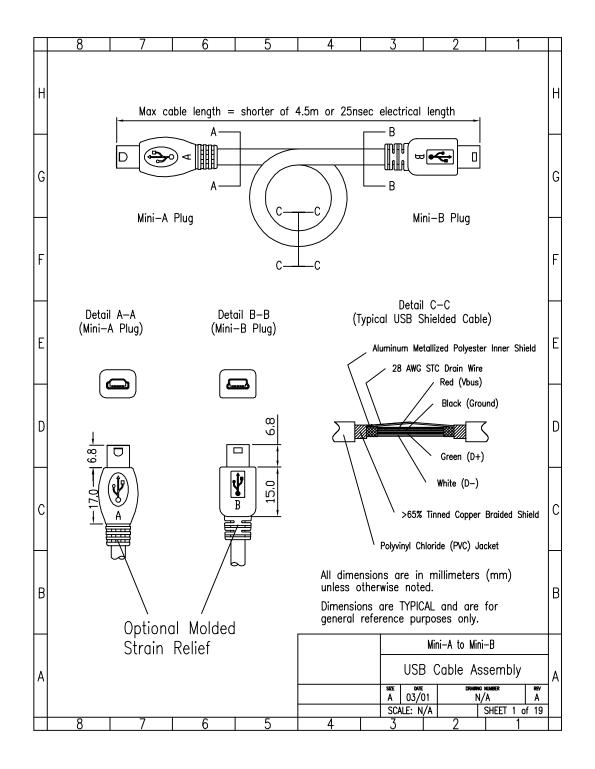


Figure 4-1. Mini-A to Mini-B Cable

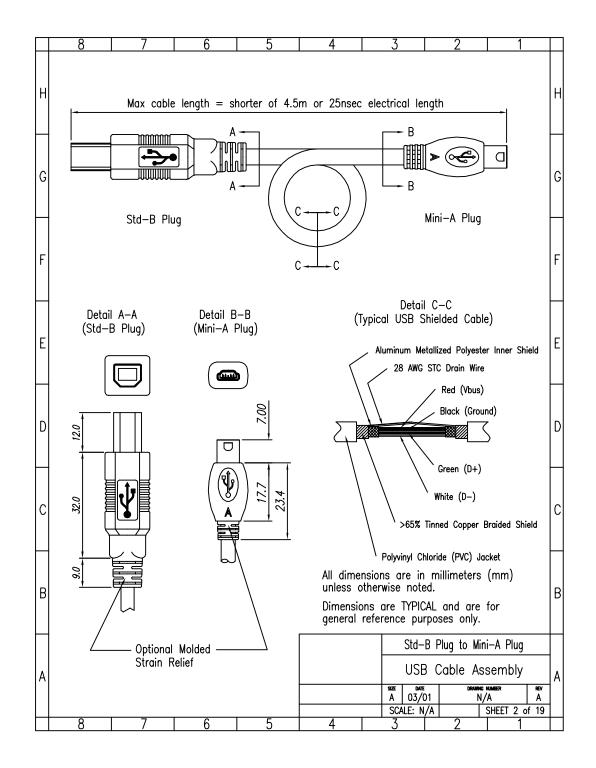


Figure 4-2. Standard-B to Mini-A Cable

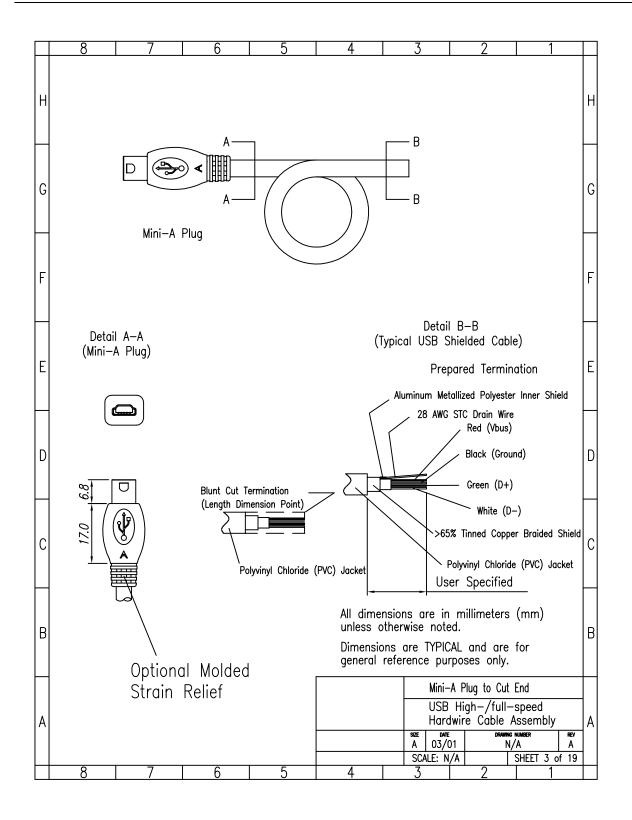


Figure 4-3. Mini-A to Captive Cable

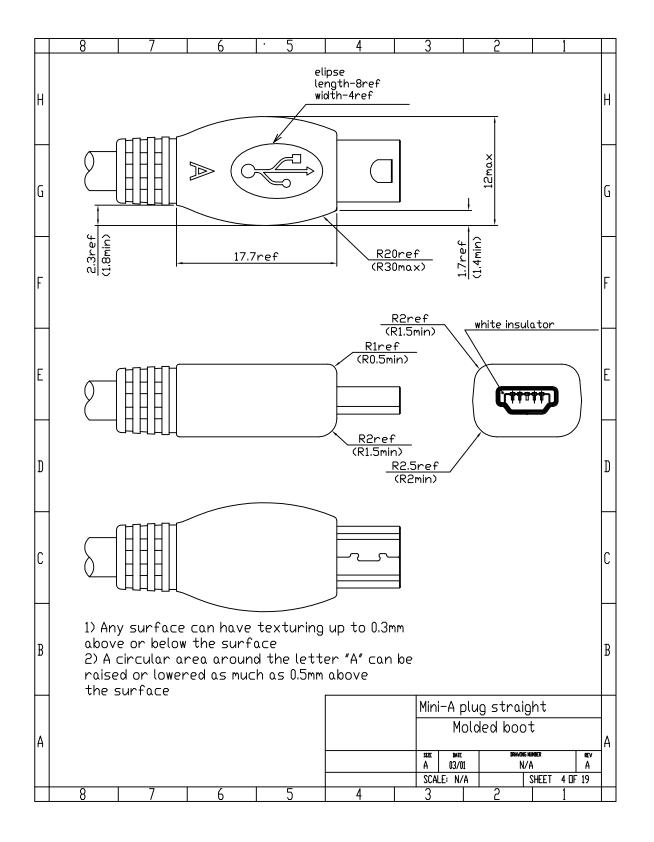


Figure 4-4. Mini-A Plug Overmold, Straight

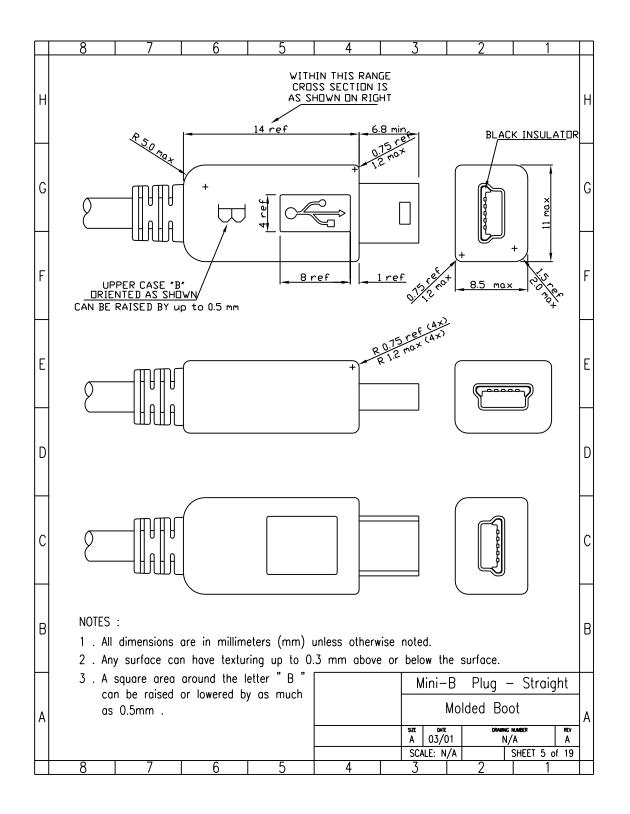


Figure 4-5. Mini-B Plug Overmold, Straight

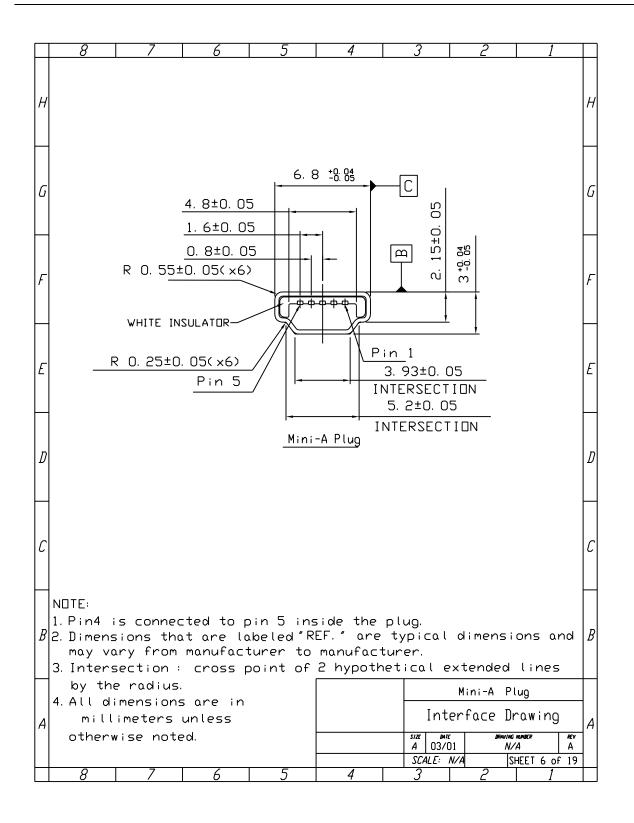


Figure 4-6. Mini-A Plug Interface - 1 of 2

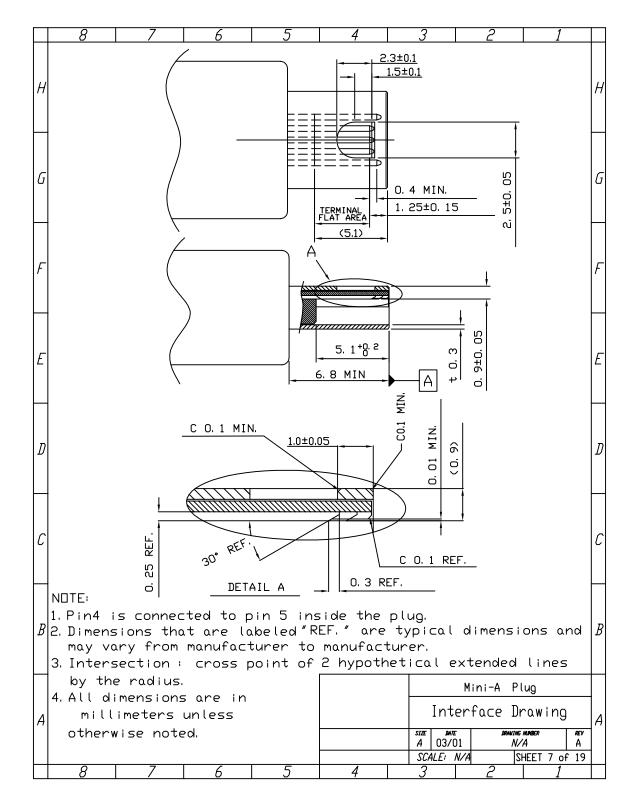


Figure 4-7. Mini-A Plug Interface - 2 of 2

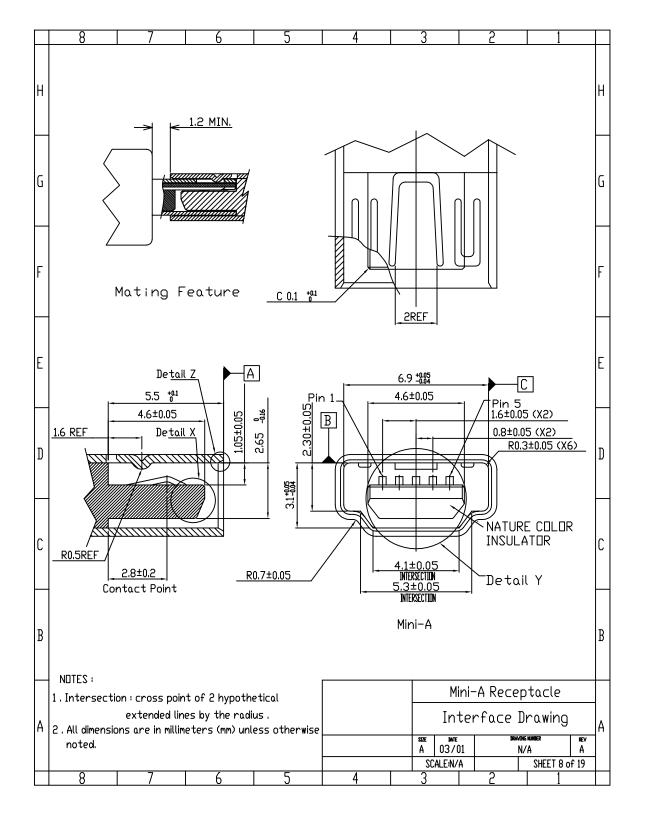


Figure 4-8. Mini-A Receptacle Interface

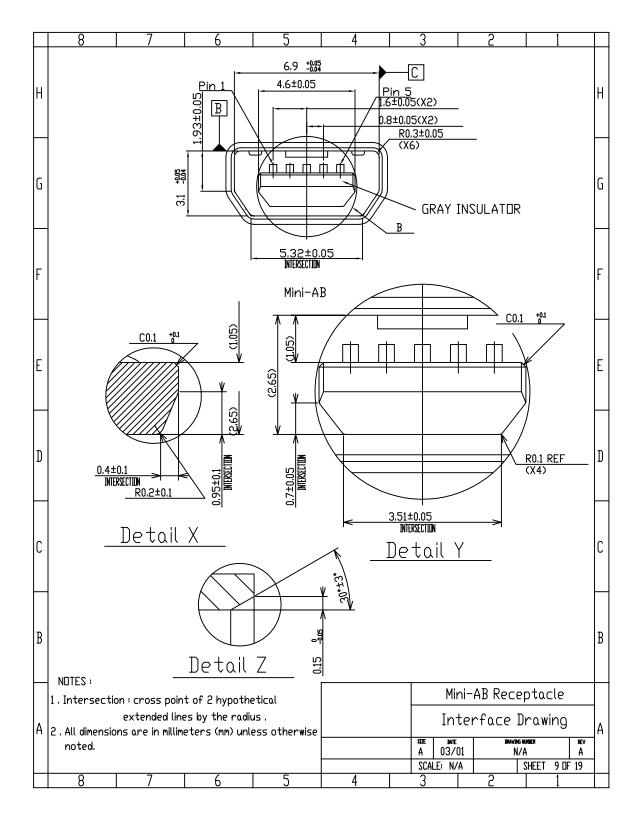


Figure 4-9. Mini-AB Receptacle Interface

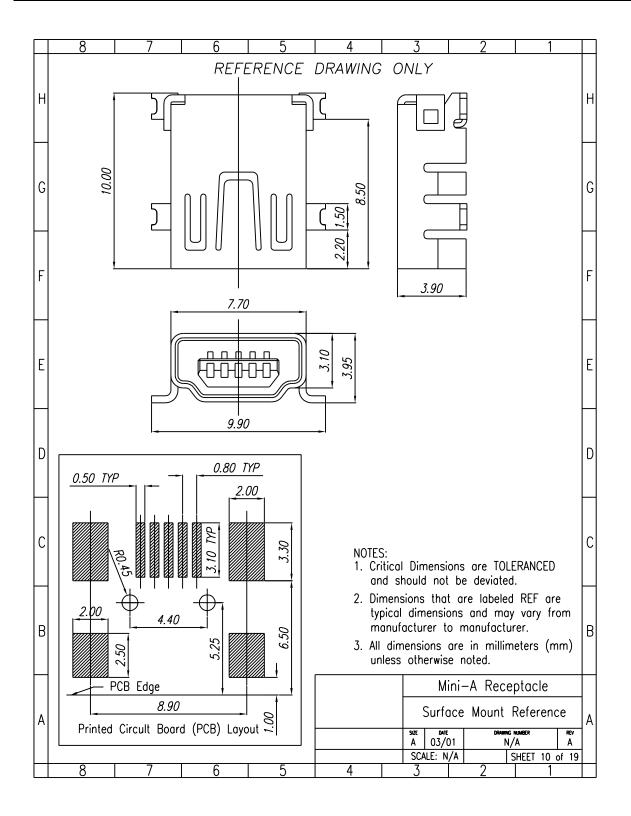


Figure 4-10. Mini-A Receptacle Reference Design

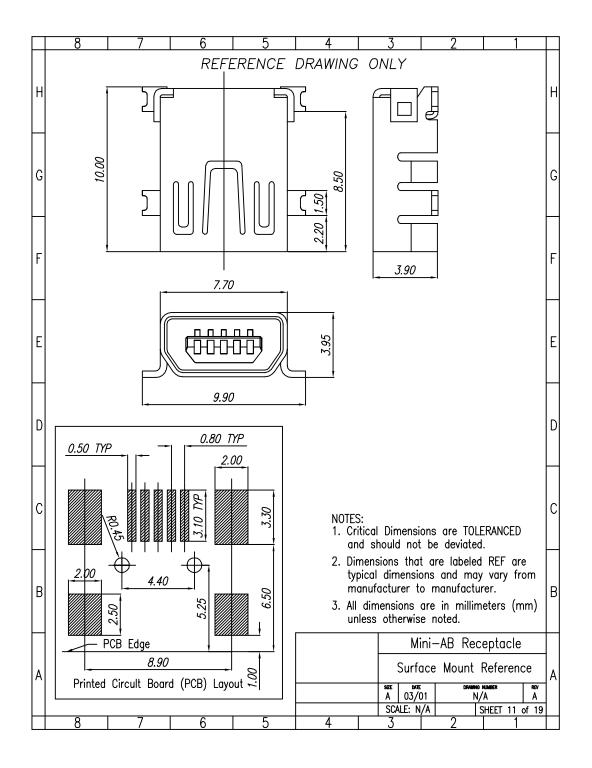


Figure 4-11. Mini-AB Receptacle Reference Design

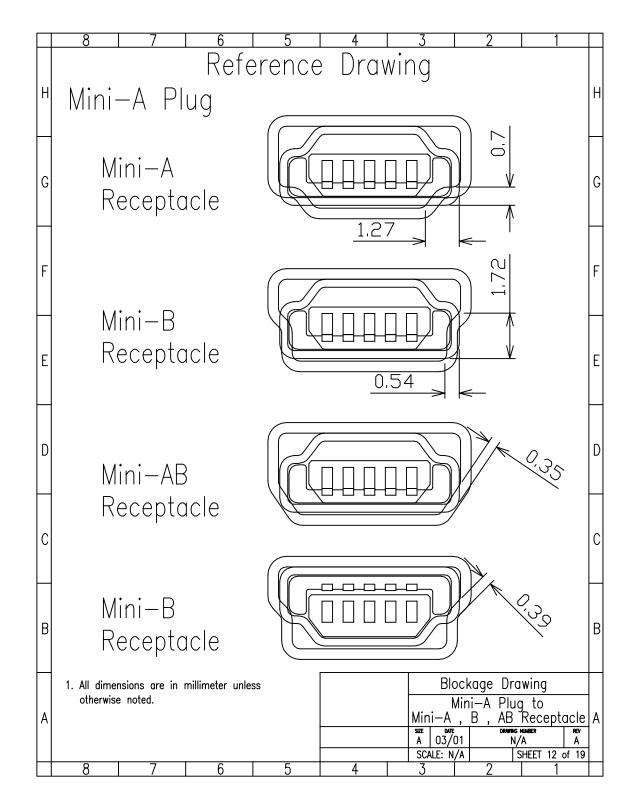


Figure 4-12. Mini-A Plug Blockage

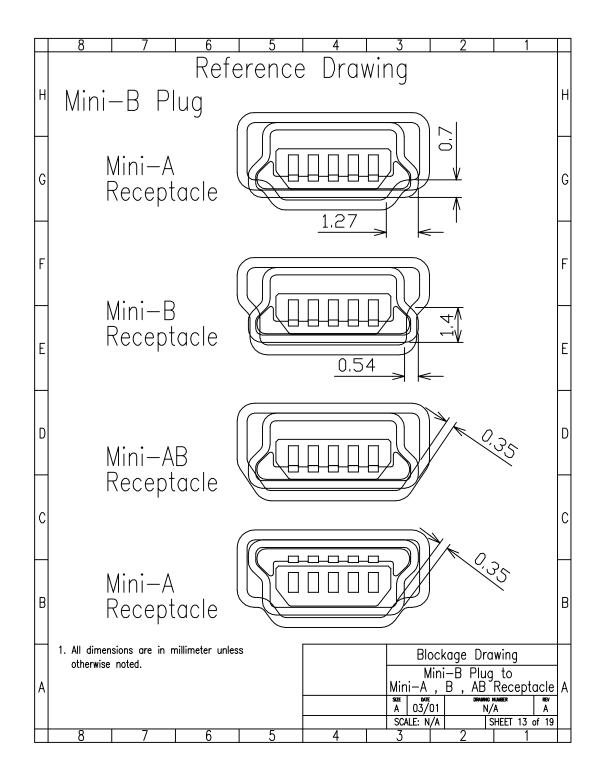


Figure 4-13. Mini-B Plug Blockage

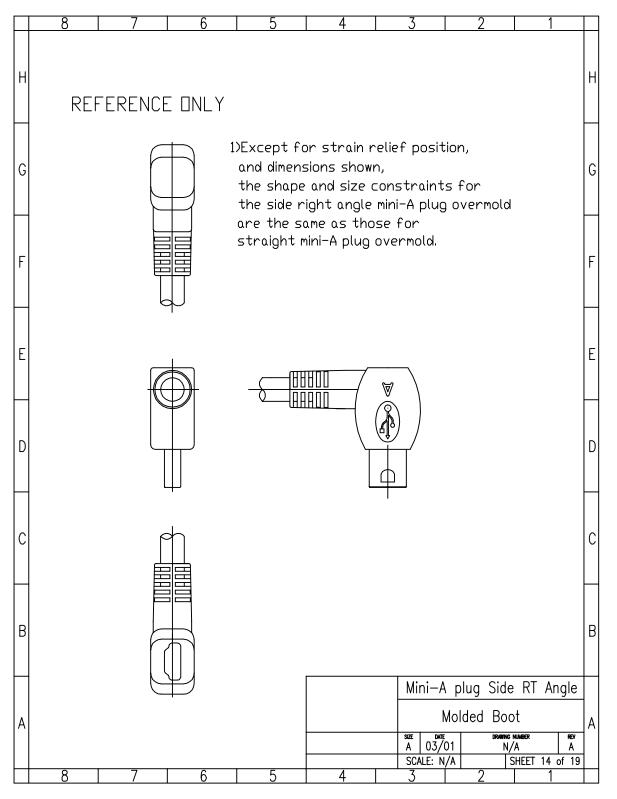


Figure 4-14. Mini-A Plug, Side Right Angle

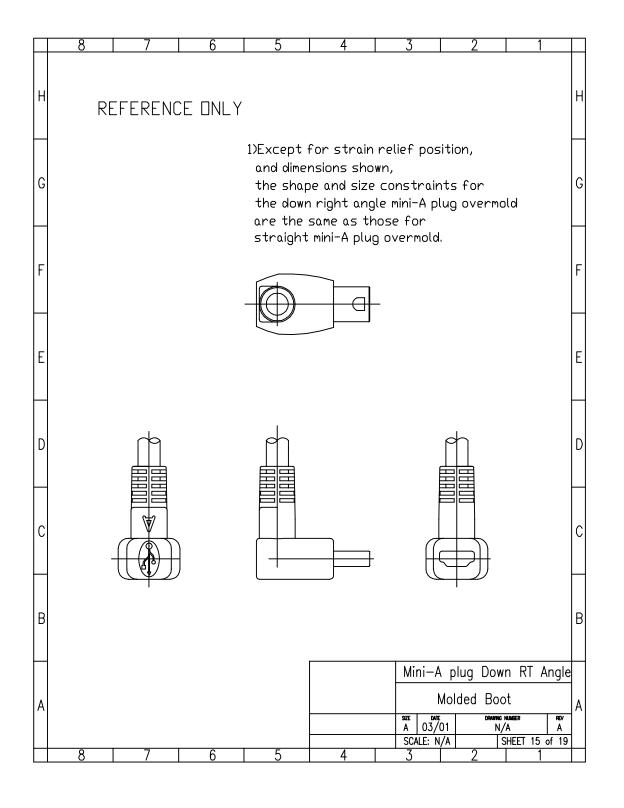


Figure 4-15. Mini-A Plug, Down Right Angle

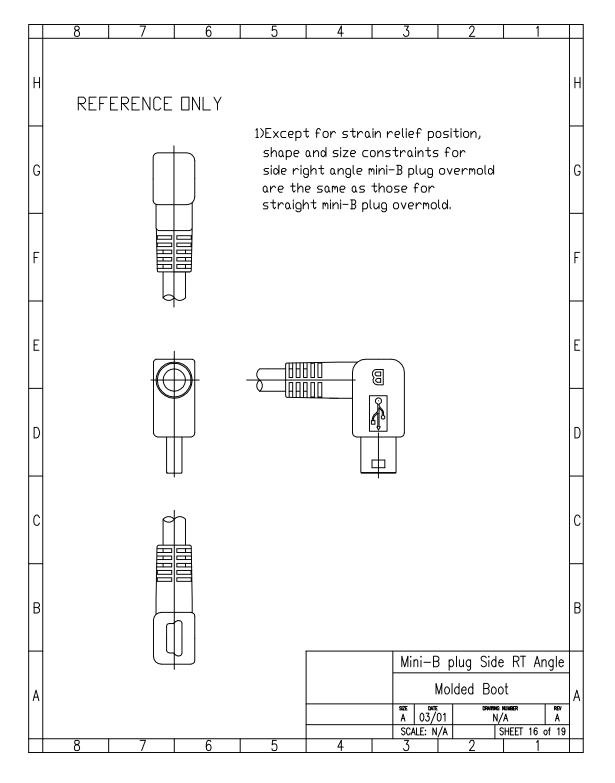


Figure 4-16. Mini-B Plug, Side Right Angle

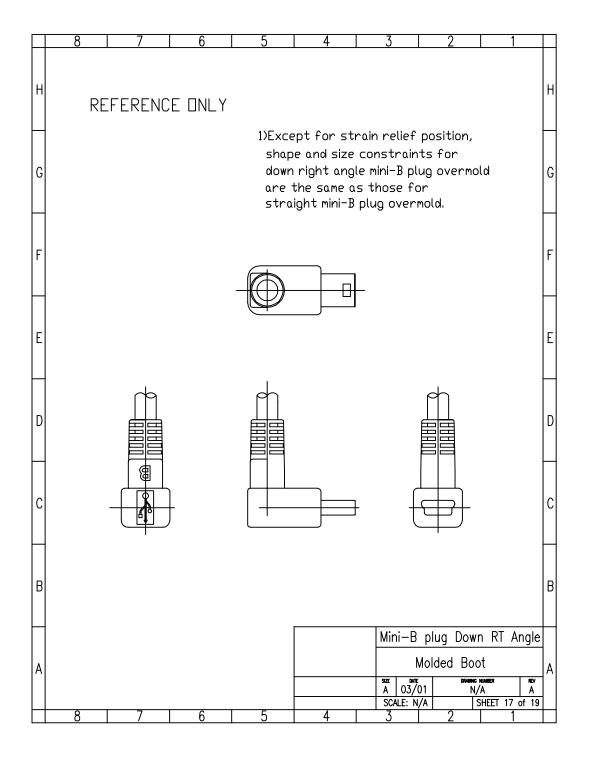


Figure 4-17. Mini-B Plug, Down Right Angle

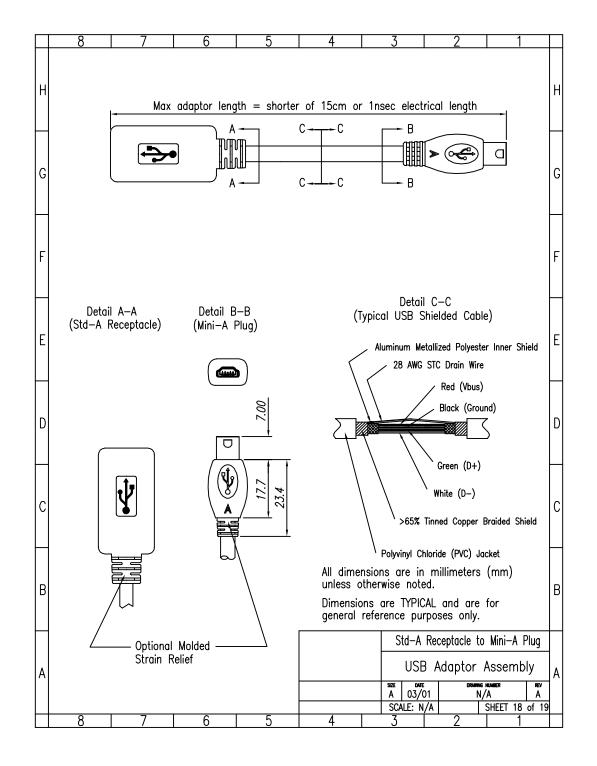


Figure 4-18. Adapter, Mini-A receptacle to Standard-A plug

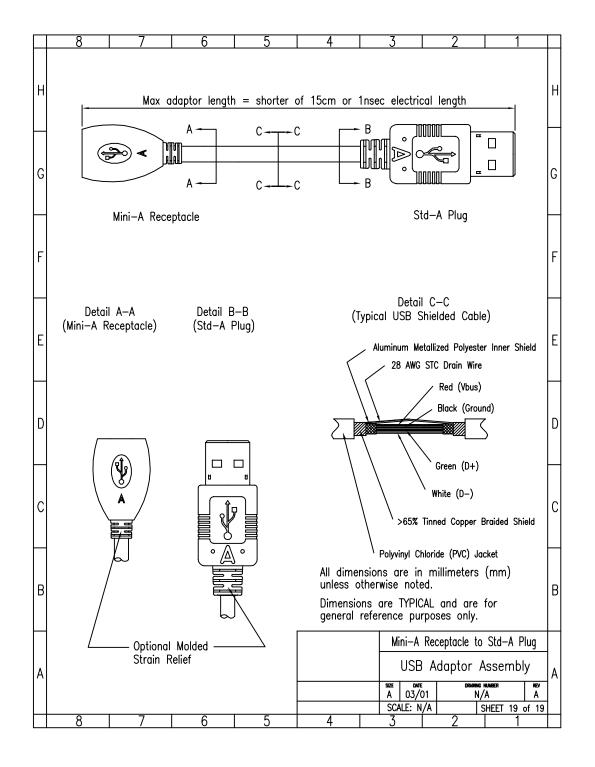


Figure 4-19. Adapter, Standard-A receptacle to Mini-A plug

# 5. Electrical Requirements

This section defines electrical specifications for USB devices that implement the protocols defined in this specification. Any parameter that is not specified in this section is unchanged from the USB 2.0 specification.

# 5.1 A-Device Electrical Requirements

# 5.1.1 VBUS Output Voltage and Current

When an A-device is providing power to VBUS on a port, it is required to maintain an output voltage  $(VA_VBUS_OUT)$  on that port between 4.4 V and 5.25 V, under loads of 0 mA up to the rated per port output of the device's supply  $(IA_VBUS_OUT min = 8 mA)$  as long as the rated output of the A-device is less than or equal to 100 mA.

If the current rating per port of the A-device is greater than 100 mA, then the voltage regulation is required to be between 4.75 V and 5.25 V, and the A-device is required to meet the USB 2.0 specification requirements for power providers.

If the A-device is not capable of providing at least 100 mA on a port, it must be able to detect when VBUS falls below the value necessary for proper operation of a B-device (VA\_VBUS\_VLD min). Any voltage below VA\_VBUS\_VLD min shall be detected as a low-voltage condition.

# 5.1.2 VBUS Input Impedance

When the A-device is powered and not providing VBUS, it shall present an input impedance on VBUS of no more than 100 k $\Omega$  (RA\_BUS\_IN max) to GND over the range of 0 V  $\leq$  VBUS  $\leq$  5.25 V, as shown in Figure 5-1. If the A-device responds to the VBUS pulsing method of SRP, then the input impedance may be no lower than 40 k $\Omega$  (RA\_BUS\_IN min) to GND. Otherwise, it may be lower.

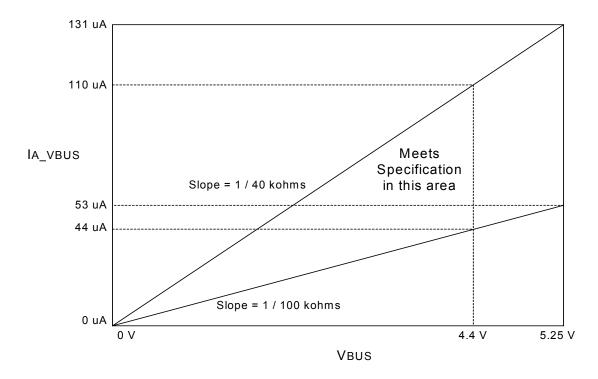


Figure 5-1. A-device Input Impedance (VBUS not driven)

#### 5.1.3 VBUS Rise and Fall Time

When the A-device provides power, the rise time on VBUS from 0 V to 4.4 V shall be less than 100 ms (TA\_VBUS\_RISE max) when driving a constant current load equal to the rating of the device's VBUS supply and an external load capacitance of 10  $\mu$ F (this 10  $\mu$ F is in addition to any VBUS decoupling capacitance on the A-device). If VBUS does not reach this voltage within TA\_VBUS\_RISE max from the time that VBUS is turned on, this is an indication that the B-device is drawing more current that the A-device is capable of providing and an over-current condition exists. In such a case, the A-device shall turn off VBUS and terminate the session. The A-device shall also indicate to the user that the B-device is not supported.

Note: VBUS rise time is not specified in USB 2.0 and standard USB hosts **may** have rise times longer than 100 ms. A compliant device shall be able to operate with the longer VBUS rise times allowed by the USB 2.0 specification.

The fall time of VBUS is derived and not specified. It is only significant when the B-device initiates and the A-device responds to SRP. Before starting SRP, the B-device must insure that VBUS is below 0.8 V. The B-device may either actively pull down VBUS through a resistance of no less than RB\_SRP\_DWN min (see Section 5.3.2), or it may wait for the decoupling capacitance of the A-device and B-device to discharge through the weak pull-downs that are present on VBUS.

For an A-device, the highest pull-down resistance on VBUS is 100 k $\Omega$  (RA\_BUS\_IN max) and the decoupling capacitance is less than 6.5  $\mu$ F (CDRD\_VBUS max). The values of the decoupling capacitor and the VBUS pull-down resistor on the B-device are known by the manufacturer of the B-device. These values are used with the worst-case values of the A-device in computing the discharge time for VBUS. If the worst-case values on the B-device are the same as for the A-device, then the longest discharge time from 4.4 V (VA\_VBUS\_VLD min) to 0.8 V (VA\_SESS\_VLD min) will be approximately 1.1 seconds.

# 5.1.4 VBUS Capacitance

A dual-role device must have a VBUS capacitance (CDRD\_VBUS) that is between 1.0 µF and 6.5 µF.

The limit on the decoupling capacitance allows a B-device to differentiate between a powered down dual-role device and a powered down standard host, i.e.:

•	Capacitance on A-Device	1.0 μF < Cdrd_vbus < 6.5 μF
•	Capacitance on Host	Снsт_vвus > 96 µF

Capacitance on Host

#### 5.1.5 VBUS Leakage Voltage

When the A-device is not driving VBUS, the voltage on VBUS as a result of device leakage within the A-device (VA\_VBUS\_LKG) shall not exceed 0.2 V. This voltage is measured with no device attached to the A-device and after VBUS has been turned off for 5 seconds.

#### 5.1.6 Data Line Pull-down Resistance

When an A-device is idle or acting as Host, it shall activate pull-down resistors on both the D+ and Dlines. These resistors shall be within the range of 14.25 k $\Omega$  to 24.8 k $\Omega$  (RPD).

When an A-device is acting as a Peripheral, it shall disable the pull-down on the D+ line but shall not disable the pull-down on the D- line.

The A-device is allowed to disable both pull-down resistors during the interval of a packet transmission, when acting as either Host or Peripheral.

### 5.1.7 Data Line Pull-up Resistance

When operating as a Peripheral, the A-device shall enable a pull-up (as defined in the USB 2.0 specification) attached to the D+ line. It is allowed to disable the pull-up resistor during the interval of a packet transmission.

# 5.1.8 Data Line Leakage Voltage

When neither device is driving the data bus, one of the data lines will be held low by the pull-down resistor on the Host. The D- line is pulled low if the attached device is full speed and D+ line is pulled low if the attached device is low speed.

The low-level voltage on the line that is being pulled low may not be above 0.342 V (VDRD\_DATA\_LKG max). As per Section 7.1.6.6 of the USB 2.0 specification, the attached device may have an impedance as low as 300 k $\Omega$  (ZINP min) to 3.6 V. When the data line of the attached device is grounded, this results in a leakage current of approximately 10  $\mu$ A. The leakage current from the Host shall be low enough such that, when combined with the approximately 10  $\mu$ A of leakage from the attached device, the voltage across the pull-down resistor shall not exceed 0.342 V. This voltage is measured by pulling either D+ or D- to 3.6 V through 300 k $\Omega$  (ZINP min) when the A-device is the Host and the bus is in the idle state.

# 5.1.9 Data Line Discharge Time

When an A-device is in the **a\_peripheral** state and detects that the bus is idle for greater than TA\_BIDL\_ADIS, then it is allowed to:

- disconnect its pull up
- allow time for the data line to discharge

• check if the B-device has connected its pull up

Similarly, when a B-device is in the **b\_peripheral** state and detects that the bus is idle for greater than TB\_AIDL\_BDIS, then it is allowed to:

- disconnect its pull up
- allow time for the data line to discharge
- check if the A-device has connected its pull up

During the above steps, the amount of time that the local device must wait for the data line to discharge, before checking to see if the remote device has connected its pull up, is determined as follows.

As per Table 7-7 of the USB 2.0 specification, the maximum capacitance for a downstream facing port is 150 pF (CIND max), and the maximum capacitance for the upstream port of a device without an attached cable is 100 pF (CINUB max). The differential capacitance of a worst-case USB cable is approximately 340 pF (the single-ended capacitance is lower but is not specified so assuming that the single-ended capacitance is the same as the differential capacitance will insure a worst case calculation). The pull-down resistance of each dual-role device is 24.8 k $\Omega$  (RPD max) or less. This results in a discharge time constant of:

• time constant = (150 pF + 100 pF + 340 pF) x (24.8 k $\Omega$  / 2)  $\approx$  6.7 µsec

For the data line to discharge from 3.6 V to 0.8 V requires approximately 1.55 time constants. This gives a worst case delay for the data line discharge of about 10.4  $\mu$ s. In previous cases of USB specification development, time values for RC charge/discharge were used to set timing values. In most cases, these values did not have a large margin for error or to allow for simple variations in implementation to reduce costs or complexity. For this reason, the guard-band for the data-line discharge is set at about 2.5 times the calculated value. Thus, if a device uses this method to ensure the data line is at a logic low level, it must wait for a minimum of 25  $\mu$ sec (TLDIS\_DSCHG min) before checking the state of the data-line.

# 5.2 **B-Device Electrical Requirements**

# 5.2.1 VBUS Average Input Current

An unconfigured, dual-role B-device shall not draw more than 150 uA average current (IB\_DRD\_UNCFG max) from VBUS, except for a short interval immediately before initiating SRP.

An unconfigured, SRP capable, peripheral-only B-device shall not draw more than 8 mA average current (IB\_PO\_UNCFG max) from VBUS.

Average current as used above is defined as the average current over any 1 ms interval.

Before initiating SRP, either a dual-role or an SRP capable peripheral-only B-device is allowed to discharge VBUS for a time period of not more than 100 ms, at a current of not more than 8 mA (IB\_DSCHG\_IN max.).

# 5.2.2 VBUS Peak Input Current

The peak current drawn by an SRP capable B-device shall not be so large as to cause more than a 400 mV (VB\_DELTA\_PK) drop in VBUS from its average value. The allowable transient is computed by assuming that the A-device is a constant current source providing the average current consumed by the B-device in parallel with a  $1\mu$ F capacitor (CDRD\_VBUS min).

The 1  $\mu$ F decoupling capacitor on the A-device and the decoupling capacitor provided on he B-device will provide the only filtering of the voltage transient due to the transient current. If the transient current

computed by this method is greater than 100 mA, then the transient shall be no larger than the larger of 100 mA or the value reported in *bMaxPower* in the currently selected configuration. No transient shall have a di/dt of greater than 100 mA/ $\mu$ s. (For reference, see Figure 7-48 of USB 2.0 specification.)

The peak current drawn by an SRP capable B-device shall not cause it to exceed the average current requirement as defined in Section 5.2.1.

#### 5.2.3 VBUS Capacitance

The VBUS capacitance allowed for a dual-role B-device is the same as that allowed for an A-device, (see Section 5.1.4).

#### 5.2.4 Data Line Pull-down Resistance

When a dual-role B-device is idle or acting as a Host, it shall activate pull-down resistors on both the D+ and D- lines. These resistors shall be within the range of 14.25 k $\Omega$  to 24.80 k $\Omega$  (RPD).

When a dual-role B-device is acting as a Peripheral, it shall disable the pull-down on the D+ line but shall not disable the pull-down on the D- line.

A dual-role B-device is allowed to disable both pull-down resistors during the interval of a packet transmission while acting as either a Host or a Peripheral.

### 5.2.5 Data Line Pull-up Resistance

When operating as Peripheral, the B-device shall enable a pull-up (as defined in the USB 2.0 specification) attached to the D+ pin. It is allowed that the pull-up resistor be disabled during the interval of a packet transmission.

#### 5.2.6 Data Line Leakage Voltage

For a dual-role B-device, the data line leakage voltage shall be as specified in Section 5.1.8 for an A-device.

# 5.3 Session Request Protocol

#### 5.3.1 Introduction

In order to conserve power, the OTG supplement allows an A-device to leave VBUS turned off when the bus is not being used. If the B-device wants to use the bus when VBUS is turned off, then it requires some way of requesting the A-device to supply power on VBUS. For this reason, the OTG supplement defines the Session Request Protocol (SRP).

A session is defined as the period of time that VBUS is above the Session Valid threshold of a given device. This A-device threshold shall be within the range defined by VA\_SESS\_VLD, while the B-device threshold shall be in the range defined by VB\_SESS\_VLD, (see Table 5-1). At the start of a session, the A-device defaults to having the role of Host. During a session, the role of Host can be transferred back and forth between the A-device and the B-device any number of times, using the Host Negotiation Protocol (HNP) defined in Section 6. The session ends when VBUS falls below the A-device Session Valid threshold.

Dual-role devices are required to be able to initiate and respond to SRP. Any A-device, including aPC or laptop, is allowed to respond to SRP. Any B-device, including a standard USB peripheral, is allowed to initiate SRP.

The OTG supplement defines two methods that are used by the B-device to request that the A-device begin a session. They are called "data-line pulsing" and "VBUS pulsing". These two methods comprise the Session Request Protocol (SRP).

Two signaling methods are defined to allow maximum latitude in the design of A-devices. An A-device is only required to respond to one of the two SRP signaling methods. A B-device shall use both methods when initiating SRP to insure that the A-device responds.

#### 5.3.2 Initial Conditions

The B-device may not attempt to start a new session until it has determined that the A-device should have detected the end of the previous session. The A-device detects the end of a session by sensing that VBUS has dropped below its session valid threshold. Since the A-device Session Valid threshold may be as low as 0.8 V (VA\_SESS\_VLD min), the B-device must insure that VBUS is below this level before requesting a new sessionThe B-device may ensure that VBUS is below the B-device Session End threshold either by direct measurement of VBUS or by timing the discharge.

Additionally, the B-device may switch in a pull-down resistor from VBUS to ground in order to speed the discharge process as long as the pull-down resistor does not cause the B-device to draw more than 8 mA from VBUS. To ensure this, the resistance of the pull-down (RB\_SRP\_DWN) must be greater than 656  $\Omega$ , (5.25 V / 8 mA = 656  $\Omega$ ).

A second initial condition for starting a new session is that the B-device must detect that both the D+ and D- data lines must have been low (SE0) for at least 2 ms (TB\_SE0\_SRP min). This ensures that the A-device has detected a disconnect condition from the device.

These initial conditions define the period after which the A-device will properly recognize SRP from the B-device.

Each B-device is required to have a Session End threshold that is within the Session End range (VB\_SESS\_END) defined in Table 5-1. This threshold can be implemented directly, as with a comparator, or it can be implemented indirectly, by timing a discharge of VBUS through a resistor. The reason that Table 5-1 lists a lower limit of 0.2V on this threshold is that leakage currents from the transceivers could prevent a non-driven VBUS from discharging below this lower voltage.

When the B-device detects that VBUS has gone below its Session End threshold and detects that both D+ and D- have been low (SE0) for at least 2 ms (TB\_SE0\_SRP min), then any previous session on the A-device is over and a new session may start.

# 5.3.3 Data-line Pulsing

To indicate a request for a new session using the data-line pulsing SRP, the B-device waits until the initial conditions are met as described above and then turns on its data line pull-up resistor (either D+ or D-) for a period of 5 ms to 10 ms (TB\_DATA\_PLS). A dual-role B-device is only allowed to initiate SRP at full-speed, and thus shall only pull up D+. The duration of such a data line pulse is sufficient to allow the A-device to reject spurious voltage transients on the data lines.

An A-device that is designed to detect the data-line pulsing method of SRP will detect that a data line (either D+ or D-) has gone high and generate an indication that SRP has been detected.

Note: It has been observed that some self-powered USB devices do not follow USB Revision 1.1 Section 7.1.5 or USB Revision 2.0 Section 7.1.5.1 that state:

"The voltage source on the pull-up resistor must be derived from or controlled by the power supplied on the USB cable such that when VBUS is removed, the pull-up resistor does not supply current on the data line to which it is attached."

Devices that violate the above requirement have been observed to pull-up D+ or D- as long as they have power applied to them, without regard to the presence of VBUS. For this reason, a dual-role device that responds to data-line pulsing SRP should be able to disable this capability when an offending device is attached. The process for handling this would be to start a session upon detecting D+/D- high, enumerate the device, determine that it is not supported, disable SRP, and turn off VBUS. When the data line returns low (indicating a disconnect), then SRP should be re-enabled.

# 5.3.4 VBUS Pulsing

To indicate a request for a new session using the VBUS pulsing method, the B-device waits until the initial conditions are met as described in Section 5.3.2 and then drives VBUS. VBUS is driven for a period that is long enough for a capacitance on VBUS that is smaller than 13  $\mu$ F (2 x CDRD\_VBUS max) to be charged to 2.1 V (VB\_DRD\_OUT min) while a capacitance on VBUS of more than 97  $\mu$ F (CHST\_VBUS min + CDRD\_VBUS min) will not be charged above 2.0 V (VB\_HST\_OUT max).

There are two scenarios that a B-device could encounter when pulsing VBUS to initiate SRP. In one scenario, the B-device is connected to an A-device that responds to the VBUS pulsing SRP. In this case, the B-device can drive VBUS above the A-device Session Valid threshold in order to wake up the A-device. (This assumes that the A-device is not already driving VBUS, in which case a session is already in progress). When driving such an A-device, the B-device shall ensure that VBUS goes above 2.1 V (VB\_DRD\_OUT min), but does not exceed 5.25 V (VB\_DRD\_OUT max).

In the second scenario, the B-device is attached to a standard host. In this case, the B-device shall not drive VBUS above 2.0V (VB\_HST\_OUT max). This insures that no damage is done to standard hosts that are not designed to withstand a voltage externally applied to VBUS.

In order to meet these requirements, the B-device can utilize the fact that the capacitance on a standard host will not be less than 96  $\mu$ F (CHST\_VBUS min), while the maximum capacitance allowed on a dual-role device is 6.5  $\mu$ F (CDRD\_VBUS max). Based on the greater than one order-of-magnitude difference between these two capacitances, and a self-imposed current limit, the B-device designer can derive a maximum length of time the B-device is allowed to drive VBUS. By driving VBUS for this duration, the designer can guarantee that VBUS will rise above 2.1 V if attached to a dual-role device, while ensuring that VBUS will not exceed 2.0 V if attached to a standard USB host.

# 5.3.5 B-Device VBUS Pulsing Current

The B-device VBUS pulsing circuitry must be designed such that the maximum current drawn by the B-device does not exceed 8 mA (IB\_SO\_UNCFG max). One way to ensure this restriction is met is to drive VBUS with a voltage source greater than 3.0 V and with an output impedance greater than 281  $\Omega$  (RB\_SRP\_UP min). This takes into consideration the possibility that if the A-Device responds very quickly to SRP, it could drive VBUS to 5.25 V while the B-device is still pulsing VBUS. If this happened, then current could flow from the 5.25 V on the A-device back to the 3.0 V on the B-device. ([5.25 V - 3.0 V]/8 mA  $\cong$  281  $\Omega$ )

#### 5.3.6 A-Device Session Valid

The A-device continuously monitors VBUS as long as power is available on the A-device. An A-device that is designed to detect the VBUS pulsing method will detect that VBUS has gone above the A-device Session Valid threshold (VA\_SESS\_VLD) and generate an indication that SRP has been detected.

#### 5.3.7 B-Device Session Valid

When a B-device detects that the voltage on VBUS is greater than the B-Device Session Valid threshold (VB\_SESS\_VLD), then the B-device shall consider a session to be in progress. After the VBUS voltage crosses this threshold, the B-device shall assert either the D+ or D- data-line within 100 ms (TB\_SVLD\_BCON max).

### 5.3.8 Duration of SRP

The maximum time allowed for the B-device to complete all of its SRP initiation activities is 100 ms (TB\_SRP\_INIT max).

#### 5.3.9 Order of Methods

The B-device shall first perform data-line pulsing, followed by VBUS pulsing.

#### 5.3.10 Response Time of A-device

The A-device may be designed to respond to either of the methods of SRP. After initiating SRP, the Bdevice is required to wait at least 5 seconds (TB\_SRP\_FAIL min) for the A-device to respond, before informing the user that the communication attempt has failed. For this reason, it is recommended that the A-device respond to SRP in less than 5 seconds (TA\_SRP\_RSPNS max). The minimum response from the A-device is to turn on VBUS and generate a bus reset.

#### 5.3.11 Repetition of SRP

The B-device may initiate the SRP any time the initial conditions of Section 5.3.2 are met.

# 5.4 Electrical Characteristics

Table 5-1. DC Electrical Characteristics

Parameter	Symbol	Conditions	Min	Мах	Units	Ref
VBUS Voltage:			•			
A-Device Output Voltage	VA_VBUS_OUT	0 ≤ IVBUS ≤ IA_VBUS_OUT < 100 mA	4.4	5.25	V	5.1.1
VBUS Rise Time	TA_VBUS_RISE	$C_{LOAD} = 10 \ \mu F$ $I \lor B US = rated load^{1}$ $0.0 \ V < \lor B US < 4.4 \ V$		100	ms	5.1.3
A-device Leakage Voltage	VA_VBUS_LKG			0.2	V	5.1.5
B-device (SRP capable) to Dual-Role Device Output Voltage	Vb_drd_out		2.1	5.25	V	5.3.4
B-device (SRP capable) to Host Output Voltage	VB_HST_OUT			2.0	V	5.3.4
B-device (SRP capable) Induced Transient	VB_DELTA_PK	di/dt < 100 mA/µs		400	mV	5.2.2
VBUS Current:			•	•	•	
A-Device Output Current	IA_VBUS_OUT	$\begin{array}{c} 4.4 \ V \leq V \\ \text{BUS} \leq 5.25 \\ \text{V} \end{array}$	8		mA	5.1.1
B-Device (Dual-Role) Unconfigured Average Current	IB_DRD_UNCFG	$\begin{array}{l} 0 \ V \leq V_{BUS} \leq 5.25 \ V \\ T_{AVG} \ \ = 1 \ ms \end{array}$		150	μA	5.2.1
B-Device (SRP capable, Peripheral-Only) Unconfigured Average Current	IB_PO_UNCFG	$0V \le VBUS \le 5.25 V$ TAVG = 1 ms		8	mA	5.2.1
B-Device (SRP capable) Discharge Current	IB_DSCHG_IN	$0 \text{ V} \leq \text{VBUS} \leq 5.25 \text{ V}$		8	mA	5.2.1
Data-Line Voltage:						
Dual-Role Device Leakage	VDRD_DATA_LKG			.342	V	5.1.8
ID Resistance:						
Resistance to ground on Mini- B plug	RB_PLUG_ID		100K		Ω	4.2
Resistance to ground on Mini- A plug	RA_PLUG_ID			10	Ω	4.2

<sup>&</sup>lt;sup>1</sup> Each implementation must be capable of supplying a minimum of 8mA of VBUS. An implementation that is designed to provide more than 8 mA shall be able to meet the rise-time requirement for VBUS when the applied load is equal to the design limit of the supply.

Parameter	Symbol	Conditions	Min	Max	Units	Ref
Terminations:						
A-device Data Line Pull-down	Rpd		14.25	24.8	kΩ	5.1.6
B-device Data Line Pull-down	Rpd		14.25	24.8	kΩ	5.2.4
A-Device VBUS Input Impedance to GND	RA_BUS_IN	SRP capable A- device, not driving VBUS	40	100	kΩ	5.1.2
B-device VBUS SRP pull-up	Rb_srp_up	Pull-up voltage = 3.0 V	281		Ω	5.3.5
B-device VBUS SRP pull-down	RB_SRP_DWN		656		Ω	5.3.2
Input Levels:	•					
A-device VBUS Valid	VA_VBUS_VLD		4.4		V	5.1.1
A-Device Session Valid	VA_SESS_VLD		0.8	2.0	V	5.3.6
B-Device Session Valid	VB_SESS_VLD		0.8	4.0	V	5.3.7
B-Device Session End	VB_SESS_END		0.2	0.8	V	5.3.2
Decoupling Capacitance:			•	•		
Dual-role Device VBUS Bypass Capacitance	CDRD_VBUS		1	6.5	μF	5.1.4

# Table 5-1. DC Electrical Characteristics (continued)

# 5.5 Device Timings

Table 5-2lists the timing parameters of an A-device. The parameters are listed in roughly the order that they would occur during the following sequence of events.

- A-device responds to SRP and becomes Host
- A-device suspends the bus and B-device becomes Host
- B-device suspends the bus and A-device becomes Host

In case of a discrepancy between a parameter value as listed in the table below, and it's value as listed in other parts of the document, the table value shall take precedence.

The states listed in the table are described in Section 6.

Parameter	Symbol	State	Min <sup>1</sup>	Max <sup>1</sup>	Units	Ref
SRP Response Time	TA_SRP_RSPNS	a_idle		5	sec	5.3.10
Wait for VBUS Rise	TA_WAIT_VRISE	a_wait_vrise		100 <sup>2</sup>	ms	6.6.5.1
B-Connect Long Debounce	TA_BCON_LDB	a_wait_bcon	100		ms	6.6.1.12
Wait for B-Connect	TA_WAIT_BCON	a_wait_bcon	200		ms	6.6.5.2
A-Idle to B-Disconnect	TA_AIDL_BDIS	a_suspend	150		ms	6.6.5.3
B-Disconnect to A-Connect	TA_BDIS_ACON	a_suspend		3	ms	6.3
B-Idle to A-Disconnect	TA_BIDL_ADIS	a_peripheral	3	200	ms	6.3
Local Disconnect to Data Line Discharge	TLDIS_DSCHG	a_wait_bcon	25		μs	5.1.9
B-Connect Short Debounce	TA_BCON_SDB	a_wait_bcon	2.5		μS	6.6.1.12
B-Connect Short Debounce Window	TA_BCON_SDB_WIN	a_wait_bcon		100	ms	6.6.1.12

Table	5-2.	A-device	Timina

<sup>&</sup>lt;sup>1</sup> Time values are presumed to have precision and accuracy to within 2,500 ppm for FS capable devices and 500 ppm for HS capable devices.

<sup>&</sup>lt;sup>2</sup> This value is vendor dependent. A compliant A-device will be capable of bringing VBUS in tolerance within no more than 100ms and waiting longer for VBUS rise is not advisable. If the vendor has designed the power supply to bring VBUS within tolerance in less than 100 ms, then it is appropriate to adjust this value downward to meet the capabilities of the implementation.

Table 5-3 lists the timing parameters of an A-device. The parameters are listed in roughly the order that they would occur during the following sequence of events.

- B-device initiates SRP and becomes Peripheral
- A-device suspends and B-device becomes Host
- B-device suspends and A-device becomes Host

In case of a discrepancy between a parameter value as listed in the table, and it's value as listed in other parts of the document, the table value shall take precedence.

Parameter	Symbol	State	Min <sup>1</sup>	Max <sup>1</sup>	Units	Ref
SE0 Time Before SRP	TB_SE0_SRP	b_idle	2		ms	5.3.2
Data-Line Pulse Time	TB_DATA_PLS	b_srp_init	5	10	ms	5.3.3
SRP Initiate Time	TB_SRP_INIT	b_srp_init		100	ms	5.3.8
SRP Fail Time	TB_SRP_FAIL	b_srp_init	5	30	sec	6.8.2.2
Session Valid to B-Connect	TB_SVLD_BCON	b_idle		100	ms	5.3.7
A-Idle to B-Disconnect	TB_AIDL_BDIS	b_peripheral	3	150	ms	6.3
Time between B-device HS to FS transition during suspend, and B-device disconnect	TB_FS_BDIS	b_peripheral	1	147	ms	6.3
Local Disconnect to Data Line Discharge	TLDISC_DSCHG	b_wait_acon	25		μs	5.1.9
A-SE0 to B-Reset	TB_ASE0_BRST	b_wait_acon	3.125	as per usb 2.0	ms	6.8.2.4
A-Connect Debounce	TB_ACON_DBNC	b_wait_acon	2.5		μs	6.8.2.4
A-Connect to B-SE0	TB_ACON_BSE0	b_wait_acon		1	ms	6.3

#### Table 5-3. B-device Timing

<sup>&</sup>lt;sup>1</sup> Time values are presumed to have precision and accuracy to within 2,500 ppm for FS capable devices and 500 ppm for HS capable devices.

At several points during SRP and HNP, one device is allowed a maximum length of time to respond to an event, while the other device is required to wait a minimum length of time for this response. Table 5-4 compares the maximum response time of one device to the minimum wait time of the other device.

In case of a discrepancy between Table 5-4 and the values in Table 5-2and Table 5-3, the values in Table 5-2and Table 5-3 take precedence.

Device	Parameter	Symbol	State	Min <sup>1</sup>	Max <sup>1</sup>	Units
B-device	SRP Initiate Time	TB_SRP_INIT	b_srp_init		100	ms
B-device	Session Valid Voltage to Local Connect	TB_SVLD_BCON	b_idle		100	ms
A-device	Wait for B-Connect	TA_WAIT_BCON	a_wait_bcon	200		ms
A-device	SRP Response Time	TA_SRP_RSPNS	a_idle		5	sec
B-device	SRP Fail Time	TB_SRP_FAIL	b_idle	5	30	sec
B-device	A-Idle to B-Disconnect	TB_AIDL_BDIS	b_peripheral	3	150	ms
A-device	A-Idle to B-Disconnect	TA_AIDL_BDIS	a_suspend	150		ms
A-device	B-Disconnect to A-Connect	TA_BDIS_ACON	a_suspend		3	ms
B-device	A-SE0 to B-Reset	TB_ASE0_BRST	b_wait_acon	3.125	as per USB 2.0	ms
B-device	A-Connect to B-SE0	TB_ACON_BSE0	b_wait_acon		1	ms
A-device	A-Connect to B-SE0	TA_BIDL_ADIS	a_peripheral	3	200	ms

Table 5-4.	Device	Timing	Comparison
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<sup>&</sup>lt;sup>1</sup> Time values are presumed to have precision and accuracy to within 2,500 ppm for FS capable devices and 500 ppm for HS capable devices.

# 6. Host Negotiation Protocol

# 6.1 Introduction

Since dual-role devices have a Mini-AB receptacle, a dual-role device can default to being either Host or Peripheral, depending up which type of plug (Mini-A plug for Host, Mini-B plug for Peripheral) is inserted. By utilizing the Host Negotiation Protocol (HNP), a dual-role B-device, which is the default Peripheral, may make a request to be Host. The process for this exchange of the role of Host is described in this section. This protocol eliminates the need for the consumer to swap the cable connection in order to change the roles of the connected devices.

# 6.2 Description Priority

HNP and SRP are described in this section by each of the following methods:

- state diagrams
- text

In the case of a conflict between these two descriptions, the state diagrams shall take precedence.

It should be noted that the state diagrams are presented to show one possible implementation of the HNP and SRP protocols. An implementation that exhibits an equivalent behavior as observed at the USB connector pins would also be considered to be in compliance with this specification.

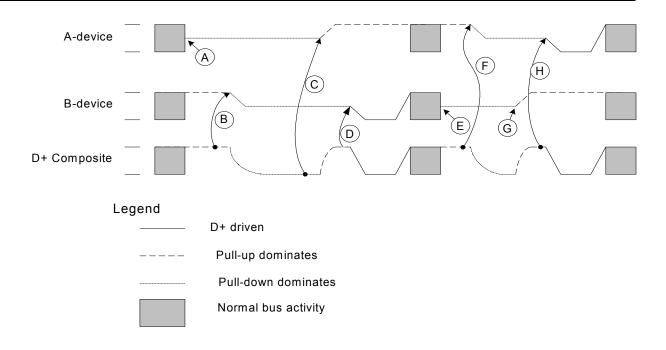
# 6.3 HNP Overview

HNP is used to transfer control of a connection from the default Host (A-device) to the default Peripheral (B-device). This is accomplished by having the A-device condition the B-device to be able to take control of the bus, and then having the A-device present an opportunity for the B-device to take control.

The B-device is conditioned when the A-device sends a SetFeature(b\_hnp\_enable) command. After sending this command, the A-device may suspend the bus to signal the B-device that it may now take control of the bus. If the B-device wants to use the bus at that time, it signals a disconnect to the A-device. If the A-device has enabled the B-device to become Host, then the A-device will interpret this disconnect during suspend as a request from the B-device to become Host. The A-device will complete the handoff by turning on it's pull-up resistor on D+.

When the B-device has finished using the bus, it starts the process of returning control to the A-device simply by stopping all bus activity and turning on its D+ pull-up resistor. The A-device will detect this lack of activity and turn off its pull-up resistor. When the A-device detects the connection from the B-device, it will resume bus operation as Host.

The sequence of events for HNP as observed on the USB are illustrated in Figure 6.1.



# Figure 6-1. HNP Sequence of Events

- A) A-device finishes using bus and stops all bus activity, (i.e. suspends the bus).
- B) B-device detects that bus is idle for more than 3 ms (TB\_AIDL\_BDIS min) and begins HNP by turning off pull-up on D+. This allows the bus to discharge to the SE0 state. If the bus was operating in HS mode, the B-device will first enter the full-speed mode and turn on its D+ pull-up resistor for at least TB\_FS\_BDIS min before turning off its pull up to start the HNP sequence.

Note: After B-device enters the FS mode and turns on its pull-up resistor; it waits to see if the data line goes high. If the data line does not go high within TWTRSTHS (from Table 7-14 in USB 2.0 specification), then the B-device shall start its HS chirp. Otherwise, if the D+ line does go high for at least TB\_FS\_BDIS min, then the B-device may start HNP.

- C) The A-device detects the SE0 on the bus and recognizes this as a request from the B-device to become Host. The A-device responds by turning on its D+ pull-up within 3 ms (TA\_BDIS\_ACON max) of first detecting the SE0 on the bus.
- D) After waiting long enough to insure that the D+ line cannot be high due to the residual effect of the B-device pull-up, (see Section 5.1.9), the B-device sees that the D+ line is high and D- low, (i.e. J state). This indicates that the A-device has recognized the HNP request from the B-device. At this point, the B-device becomes Host and asserts bus reset to start using the bus. The B-device must assert the bus reset (SE0) within 1 ms (TB\_ACON\_BSE0 max) of the time that the A-device turns on its pull-up.
- E) When the B-device completes using the bus, it stops all bus activity. (Optionally, the B-device may turn on its D+ pull-up at this time.)

- F) A-device detects lack of bus activity for more than 3 ms (TA\_BIDL\_ADIS min) and turns off its D+ pullup. Alternatively, if the A-device has no further need to communicate with the B-device, the Adevice may turn off VBUS and end the session.
- G) B-device turns on its pull-up.
- H) After waiting long enough to insure that the D+ line cannot be high due to the residual effect of the A-device pull-up, (see Section 5.1.9), the A-device sees that the D+ line is high (and D- low) indicating that the B-device is signaling a connect and is ready to respond as a Peripheral. At this point, the A-device becomes Host and asserts bus reset to start using the bus.

# 6.4 OTG Descriptor

During enumeration, a dual-role A-device shall request the OTG configuration descriptor from the Bdevice. Any B-device that supports either HNP or SRP must respond by providing this descriptor in the group of descriptors returned in response to a GetDescriptor(Configuration) command. When present, the OTG descriptor shall be present in all configurations. This three-byte descriptor consists of three fields: *bLength*, *bDescriptorType*, and *bmAttributes*, with bits as defined in the following table.

Offset	Field	Size	Value	Description
0	bLength	1	Number (3)	Size of Descriptor
1	bDescriptorType	1	Constant	OTG type = 9
2	bmAttributes	1	Bitmap	Attribute Fields D72: Reserved (reset to zero) D1: HNP support D0: SRP support

# Table 6-1. OTG Descriptor

# 6.4.1 srp\_support

This bit is set to TRUE if the device supports SRP. This bit is not used by the A-device during normal operation. However, this bit is used during compliance testing to automatically detect the capabilities of the B-device.

# 6.4.2 hnp\_support

This bit is set to TRUE if the device supports HNP. If the hnp\_support bit is TRUE, then srp\_support must also be TRUE.

# 6.5 Set Feature Commands

An A-device may use the SetFeature command to enable certain behaviors on the B-device or to indicate certain capabilities of the A-device to the B-device. Any HNP capable device is required to accept the SetFeature commands for these features. If the device is not HNP capable, it shall return STALL if it receives a SetFeature command for any of these features

A B-device that supports these features shall be able to accept the SetFeature command in the Default, Address and Configured states. (It should be noted that the USB 2.0 specification states that setting a feature in the Default state for other than test modes is unspecified. This supplement adds to the list of features that can be set in the Default state.)

Setting one of these features when it is already set is not an error. The device receiving such a command will acknowledge the command indicating successful completion.

A SetFeature command for these features shall be executed on receipt of an uncorrupted command packet.

bmRequestType	bRequest	Wvalue	wIndex	wLength	Data
0000000B	SET_FEATURE	Feature Selector	Zero	Zero	None

Feature Selector	Value
b_hnp_enable	3
a_hnp_support	4
a_alt_hnp_support	5)

#### 6.5.1 b\_hnp\_enable

Setting this feature indicates to the B-device that it has been enabled to perform HNP. An A-device may set this feature if, and only if, the B-device is connected directly to an A-device port that supports HNP (i.e. no intervening hub between the Host and the B-device).

The b\_hnp\_enable feature takes precedence over either the a\_hnp\_support or a\_alt\_hnp\_support features. Thus, if b\_hnp\_enable is set, then HNP is enabled in the B-device, regardless of whether or not the a\_hnp\_support or a\_alt\_hnp\_support bits are set.

This feature is only cleared on a bus reset or at the end of a session. It cannot be cleared with a ClearFeature(b\_hnp\_enable) command.

#### 6.5.2 a\_hnp\_support

Setting this feature indicates to the B-device that it is directly connected to an A-device port that supports HNP.

The A-device shall set this feature on any B-device that is connected to an A-device port that supports HNP. The A-device shall set this feature at the start of a session, before any B-device configuration is selected.

If the A-device port that is connected to the B-device is not HNP capable, then the A-device shall not set this feature.

Before putting the B-device into a configuration, the A-device has the following three options with regards to the b\_hnp\_enable and a\_hnp\_support features:

- set the b\_hnp\_enable feature
- set the a\_hnp\_support feature but not the b\_hnp\_enable feature
- set neither the b\_hnp\_enable nor a\_hnp\_support features

If the b\_hnp\_enable feature is set, the B-device is allowed to do HNP, regardless of whether or not the a\_hnp\_support feature is set.

If the a\_hnp\_support feature is set, but the b\_hnp\_enable feature is not set, then it is likely that b\_hnp\_enable will be set later when the A-device is finished using the bus.

If neither the b\_hnp\_enable or a\_hnp\_support features are set before the A-device puts the B-device in a non-default configuration, then the B-device may at indicate to the user that HNP is not supported through the current connection.

The a\_hnp\_support feature is only cleared on a bus reset or at the end of a session. It cannot be cleared with a ClearFeature(a\_hnp\_support) command.

#### 6.5.3 a\_alt\_hnp\_support

Setting this feature indicates to the B-device that it is connected to an A-device port that is not capable of HNP, but that the A-device does have an alternate port that is capable of HNP.

The A-device is required to set this feature under the following conditions:

- the A-device has multiple receptacles
- the A-device port that connects to the B-device does not support HNP
- the A-device has another port that does support HNP

This feature is cleared at the end of a session. If this bit is set, and the user launches an Application that requires the B-device to be Host, then the B-device can provide a message to the user indicating that the user needs to connect the B-device to an alternate port on the A-device.

If both a\_alt\_hnp\_support and a\_hnp\_support are set, then the meaning is the same as if only a\_alt\_hnp\_support was set.

If the B-device receives a SetFeature(b\_hnp\_enable) command when this feature is set, then HNP is enabled in the B-device, as the b\_hnp\_enable bit takes precedence over the a\_alt\_hnp\_support bit.

This feature is only cleared on a bus reset or at the end of a session. It cannot be cleared with a ClearFeature(a\_alt\_hnp\_support) command.

# 6.6 State Machine Parameters

This section describes the inputs, internal variables, timers, and outputs associated with the state machines.

### 6.6.1 Inputs

#### 6.6.1.1 a\_bus\_drop

The "A-device bus drop" (a\_bus\_drop) input is TRUE when the Application running on the A-device needs to power down the bus, and is FALSE otherwise. When this input is TRUE, then the a\_bus\_req input must be FALSE.

#### 6.6.1.2 a\_bus\_req

The "A-device bus request" (a\_bus\_req) input is TRUE during the time that the Application running on the A-device wants to use the bus, and is FALSE when the Application no longer wants to use the bus.

#### 6.6.1.3 a\_bus\_resume

The "A-device bus resume" (a\_bus\_resume) variable is TRUE when the B-device detects that the A-device is signaling a resume (i.e. K state) on the bus.

If the B-device has transitioned to the **b\_wait\_acon** state, then a resume (i.e. K state) from the A-device will cause the B-device to return to the **b\_peripheral** state.

Section 7.1.7.7 of the USB 2.0 specification, states that the downstream device (in this case the Bdevice) interprets any non-idle signal as an indication that the upstream device (in this case the Adevice) is resuming operation of the bus. If the B-device is in the **b\_peripheral** state, then this definition for resume signaling holds.

However, if the B-device is in the **b\_wait\_acon** state, then only a J-to-K transition is treated as a resume. After the B-device turns off its pull-up resistor the bus will be pulled to the SE0 state by the pull-downs on the A and B devices. This SE0 is not a resume indication. If the SE0 persists for more than 3.125 ms (TB\_ASE0\_BRST min), then the A-device is not responding to the HNP request from the B-device and the B-device shall treat the SE0 on the bus as a bus reset indication. This takes the B-device back to the **b\_peripheral** state.

#### 6.6.1.4 a\_bus\_suspend

The "A-device bus suspend" (a\_bus\_suspend) variable is TRUE when the B-device detects that the A-device has put the bus into suspend.

If the B-device has been enabled to become Host (b\_hnp\_enable feature has been set), then the B-device uses this variable to transition from the **b\_peripheral** state to the **b\_wait\_acon** state

As per Section 7.1.7.6 of the USB 2.0 specification, the downstream device (in this case the B-device) interprets more than 3 ms of idle as an indication that the upstream device has suspended the bus.

### 6.6.1.5 a\_conn

The "A-device connect" (a\_conn) variable is used by the B-device as a condition for entering or exiting the **b\_host** state.

If the B-device is in the **b\_wait\_acon** state, the B-device sets a\_conn TRUE if the B-device detects a connection from the A-device. In order to detect a connection from the A-device, the B-device must insure that there is no residual voltage on the D+ line from the B-device's pull-up. (See Section 5.1.9). When the B-device has qualified the high level on the D+ line as being from the A-device, and the level has been present for at least 2.5  $\mu$ s (TB\_ACON\_DBNC min) then a\_conn is set to TRUE.

• Note: A dual-role device is required to operate as a full-speed peripheral. Therefore, a B-device shall only accept a connection from an A-device when D+ is pulled up. If the B-device detects a high on the D- line after disconnecting, this shall be interpreted as resume signaling from the A-device.

While the B-device is in the **b\_host** state, it will set a\_conn FALSE if the B-device detects that the Adevice has disconnected, as described in Section 7.1.7.3 of the USB 2.0 specification. The B-device also sets a\_conn to FALSE whenever it goes to any state other than the **b\_host** state.

#### 6.6.1.6 a\_sess\_vld

The "A-device session valid" (a\_sess\_vld) input is TRUE if the A-device detects that the voltage on VBUS is above its A-device Session Valid threshold (VA\_SESS\_VLD)(see Table 5-1).

#### 6.6.1.7 a\_srp\_det

The "A-device SRP detect" (a\_srp\_det) input is TRUE if the A-device detects SRP as defined in Section 5.3. This bit is set when either VBUS pulsing or data line pulsing is detected. This variable is set to FALSE on initial power up of the A-device or whenever the A-device transitions to the **a\_wait\_vfall** state.

#### 6.6.1.8 a\_vbus\_vld

The "A-device VBUS valid" (a\_vbus\_vld) input is TRUE when the VBUS voltage is above the A-device VBUS Valid threshold. This threshold must be at or above VA\_VBUS\_VLD min, specified in Table 5-1.

#### 6.6.1.9 b\_bus\_req

The "B-device bus request" (b\_bus\_req) input is TRUE during the time that the Application running on the B-device wants to use the bus, and is FALSE when the Application no longer wants to use the bus.

#### 6.6.1.10 b\_bus\_resume

The "B-device bus resume" (b\_bus\_resume) variable is TRUE when the A-device detects that the B-device is signaling a resume on the bus.

The A-device uses this variable to transition from the **a\_suspend** state to the **a\_host** state.

Section 7.1.7.7 of the USB 2.0 specification, states that the upstream device (in this case the A-device) interprets any non-idle signal as an indication that the downstream device (in this case the B-device) is waking up the bus. If the B-device is not enabled for HNP, then the behavior of the A-device in response to bus activity from the B-device is as defined in the section cited above.

However, if the B-device is enabled for HNP, then only a J-to-K transition on the bus will be treated as a resume. A transition to SE0 will be treated as the start of the HNP handoff. The A-device is expected to respond by transitioning to the **a\_peripheral** state and turning on its pull-up resistor on D+.

# 6.6.1.11 b\_bus\_suspend

The "B-device bus suspend" (b\_bus\_suspend) variable is TRUE when the A-device detects that the B-device has put the bus into suspend.

The A-device uses this variable to transition from the a\_peripheral state to the a\_wait\_bcon state

As per Section 7.1.7.6 of the USB 2.0 specification, the downstream device (in this case the A-device) interprets more than 3 ms of idle as an indication that the upstream device has suspended the bus.

#### 6.6.1.12 b\_conn

The "B-device connect" (b\_conn) variable is used by the A-device as a condition for transitioning between the following states:

On b_conn transition	Exit State	Enter State
FALSE 🗲 TRUE	a_wait_bcon	a_host
TRUE 🗲 FALSE	a_host	a_wait_bcon
TRUE 🗲 FALSE	a_suspend	a_peripheral

If b\_conn is FALSE, it is set to TRUE if the B-device pulls D+ or D- high for longer than the debounce interval. The debounce interval varies depending on how the **a\_wait\_bcon** state was entered, and how long it has been since either D+ or D- has been pulled high. If the **a\_wait\_bcon** state was entered from the **a\_peripheral**, **a\_host** or **a\_suspend** states, then the short debounce interval (TA\_BCON\_SDB) is allowed. If the **a\_wait\_bcon** state was entered from the **a\_wait\_vrise** state then the long debounce interval (TA\_BCON\_LDB) is required. Additionally, the A-device is only allowed to apply the short debounce to b\_conn in a window of time. If the A-device stays in the **a\_wait\_bcon** state for longer than TA\_BCON\_SDB\_WIN max, then the long debounce interval applies no matter how the **a\_wait\_bcon** state was entered. Note that if the state machine is timing the short debounce interval, changes to D- and D+ do not effect the setting of b\_conn if they occur before TLDIS\_DSCHG min.

While the A-device is in the **a\_host** or **a\_suspend** state, then the A-device will set b\_conn FALSE if the A-device detects that the B-device has disconnected, as described in Section 7.1.7.3 of the USB 2.0 specification. The A-device also sets b\_conn to FALSE whenever it transitions to any state other than **a\_host** or **a\_suspend**.

Note: The USB 2.0 specification insures at least 100 ms from the time the device indicates a connect until the device will be reset to start the session. This is not the case for dual-role devices. They are not assured in all cases of a 100 ms interval after indicating a connect. When a dual-role device signals connect, it must be prepared to receive the bus reset that starts the session.

#### 6.6.1.13 b\_se0\_srp

The "B-device SE0 before SRP" (b\_se0\_srp) variable is TRUE when the B-device is in the **b\_idle** state, and the line has been at SE0 for more than the minimum time before generating SRP (TB\_SE0\_SRP), as defined in Table 5-3.

#### 6.6.1.14 b\_sess\_end

The "B-device session end" (b\_sess\_end) input is TRUE if the B-device detects that the voltage on VBUS is below its B-Device Session End threshold (VB\_SESS\_END) (see Table 5-1).

#### 6.6.1.15 b\_sess\_vld

The "B-device session valid" (b\_sess\_vld) input is TRUE when the B-device detects that the voltage on VBUS is above its B-Device Session Valid threshold (VB\_SESS\_VLD)(see Table 5-1).

#### 6.6.1.16 id

The identification (id) input is FALSE when a Mini-A plug is inserted in the device's Mini-AB receptacle. Otherwise, this input is TRUE.

#### 6.6.2 Internal Variables

#### 6.6.2.1 a\_set\_b\_hnp\_en

The "A-device set b\_hnp\_enable" (a\_set\_b\_hnp\_en) bit is TRUE when the A-device has successfully set the b\_hnp\_enable bit in the B-device. The A-device sets this bit at the same time as it sets the b\_hnp\_enable bit in the B-device, and clears this bit when it determines that the B-device should have cleared the b\_hnp\_enable bit.

#### 6.6.2.2 b\_srp\_done

The "B-device SRP done" (b\_srp\_done) bit is TRUE if when the B-device has completed initiating SRP.

#### 6.6.2.3 b\_hnp\_enable

This is the feature defined in Section 6.5.1.

#### 6.6.3 Outputs

#### 6.6.3.1 chrg\_vbus

The "charge VBUS" (chrg\_vbus) signal is TRUE when a B-device is charging VBUS through a resistor. See Section 5.3.5.

#### 6.6.3.2 drv\_vbus

The "drive VBUS" (drv\_vbus) signal is TRUE when an A-device is driving VBUS.

#### 6.6.3.3 loc\_conn

The "local connect" (loc\_conn) variable is TRUE when the local device has signaled that it is connected to the bus. This variable is FALSE when the local device has signaled that it is disconnected from the bus.

See Section 6.6.1.4.

#### 6.6.3.4 loc\_sof

The "local SOF" (loc\_sof) bit is TRUE when the local device is generating activity on the bus. Activity begins with a bus reset followed by start of frame packets (SOF's) or low-speed keep-alives or any other bus activity that occurs with enough frequency to prevent the Peripheral device from sensing a lack of bus activity.

#### 6.6.4 Informative Variables

The following variables are representations of behavior that is internal to the device.

- a\_bus\_req
- a\_bus\_drop
- a\_clr\_err
- a\_suspend\_req
- b\_bus\_req

While the device must demonstrate behavior related to these variables (i.e. the A-device must turn on VBUS in response to some activity, corresponding to receiving an a\_bus\_req) the actual implementation and use of these variables is at the designer's discretion. The inclusion of these variables within the following state machines is not meant to convey required operation, but is an informative example only to show the behavior of the system.

#### 6.6.5 Timers

The HNP state machines make use of the following timers:

Timer	Timeout Time	Timeout Indication	Associated State
a_wait_vrise_tmr	TA_WAIT_VRISE	a_wait_vrise_tmout	a_wait_vrise
a_wait_bcon_tmr	TA_WAIT_BCON	a_wait_bcon_tmout	a_wait_bcon
a_aidl_bdis_tmr	TA_AIDL_BDIS	a_aidl_bdis_tmout	a_suspend
b_ase0_brst_tmr	TB_ASE0_BRST	b_ase0_brst_tmout	b_wait_acon

Table 6-4. Dual-Role Device Timers

All timers are started on entry to and reset on exit from their associated states.

# 6.6.5.1 a\_wait\_vrise\_tmr

This timer is used by an A-device in the **a\_wait\_vrise** state to wait for the voltage on VBUS to rise above the A-device VBUS Valid threshold (a\_vbus\_vld = TRUE). If VBUS is not above this threshold before a after TA\_WAIT\_VRISE (a\_wait\_vrise\_tmout = TRUE), then this is an indication that the B-device is drawing too much current.

# 6.6.5.2 a\_wait\_bcon\_tmr

This timer is used by an A-device in the **a\_wait\_bcon** state, to wait for the B-device to signal a connection, (b\_conn = TRUE). If the B-device does not connect before TA\_WAIT\_BCON, (a\_wait\_bcon\_tmout = TRUE), then the A-device is allowed to stop waiting for a connection.

Note:The reason for this timer being >200 ms (TA\_WAIT\_BCON min), is that it must be longer than time allowed for a B-device to complete SRP (TB\_SRP\_INIT max) plus the time allowed for the B-device to connect (TB\_SVLD\_BCON max).

# 6.6.5.3 a\_aidl\_bdis\_tmr

This timer is started by an A-device when it enters the **a\_suspend** state. If the A-device does not detect a disconnect before TA\_AIDL\_BDIS (a\_aidl\_bdis\_tmout = TRUE), then the A-device is allowed to stop waiting for a disconnect and end the session.

# 6.6.5.4 b\_ase0\_brst\_tmr

This timer is used by a B-device in the **b\_wait\_acon** state, to wait for an A-device to signal a connection, (a\_conn = TRUE). If the A-device does not connect before TB\_ASE0\_BRST (b\_ase0\_brst\_tmout = TRUE), then the B-device shall assume that the A-device is signaling a bus reset and return to the **b\_peripheral** state.

# 6.7 Timing Summary

# 6.7.1 B-device becoming Host

When a dual-role B-device has received a SetFeature(b\_hnp\_enable) and requires the bus, it must wait for the bus enter the Suspend state before signaling a disconnect to start HNP.

After the bus enters the Suspend state, the B-device will transition from the **b\_peripheral** to **b\_wait\_acon** states and wait for the A-device to indicate a connect event, which would complete the transfer of control to the B-device. While waiting in the **b\_wait\_acon** state, the B-device may detect a K

state on the bus. This indicates that the A-device is signaling a resume condition and is retaining control of the bus. In this case, the B-device will return to the **b\_peripheral** state.

If the B-device has had its D+ pull-up turned off for more than 25 us (TLDIS\_DSCHG min) and a J is detected on the bus, then this is an indication that the A-device is acknowledging the HNP request and has become the Peripheral.

If the B-device at any time detects more than 3.125 ms of SE0 (TB\_ASE0\_BRST min), then this is an indication that the A-device is remaining Host and is resetting the bus, as per Section 7.1.7.5 of the USB 2.0 specification. In this case the B-device shall return to the **b\_peripheral** state and start to process the bus reset before TB\_ASE0\_BRST max.

If the A-device turns on its pull up before TB\_ASE0\_BRST min of the B-device disconnecting, then the B-device has until TB\_ACON\_BSE0 max to start bus activity by issuing a bus reset.

#### 6.7.2 A-device becoming Peripheral

When the A-device is in the **a\_host** state and has set the dual-role B-device's HNP enable bit (b\_hnp\_enable = TRUE) the A-device shall place the connection to the B-device into Suspend when it is finished using the bus. If the B-device disconnects after the bus has been suspended, then this is an indication that the B-device is attempting to become Host. When the A-device detects the disconnect from the B-device, it shall turn on its D+ pull-up resistor within 3 ms (TA\_BDIS\_ACON max) to acknowledge the request from the B-device. The time in which the A-device must detect the disconnect is defined in Table 7-13 of the USB 2.0 specification.

After the A-device signals a connect, it must continue to signal a connect for at least 3 ms (TA\_BIDL\_ADIS min), while waiting for the B-device to issue a bus reset. This ensures that the B-device has at least 1 ms (TB\_ACON\_BSE0 max) to detect and respond to the A-device connect.

In some implementations of an A-device, the host controller may be on one chip, while the transceiver may be on another chip. The transceiver would typically have an integrated pull up resistor, that would be controlled by the host controller through a slow serial interface. In such an implementation, it may not be possible for the A-device host controller to detect the B-device disconnect, and then turn on the A-device's pull-up resistor through the slow serial interface before TA\_BDIS\_ACON max. One method for accomplishing this with the above architecture is as follows.

After finishing data transfers between the A-device and B-device, and before suspending the bus, the Adevice is sending out SOF's. The B-device receives these SOF's, and does not transmit any packets back to the A-device. During this time, the A-device host controller enables a logic block in the transceiver to look for an SE0 anytime that the A-device is not transmitting. After this logic is enabled, the A-device stops transmitting SOF's and allows the bus to go idle. If the B-device disconnects, then the bus goes to SE0, and the transceiver logic automatically turns on the A-device pull up.

Regardless of the implemention, the A-device must allow the B-device to disconnect before the A-device connects. This is so that the A-device can detect the B-device disconnect, and transition out of the **a\_suspend** state.

# 6.8 State Diagrams

The HNP state machines are contained in this section. Figure 6-2 shows the state machine of a dualrole A-device. Figure 6-3 shows the state machine of a dual-role B-device. The HNP state machine for a dual-role device is equivalent to the A-device state machine combined with the dual-role B-device state machine.

An additional state diagram for an SRP capable Peripheral-only B-device is shown in Figure 6-4.

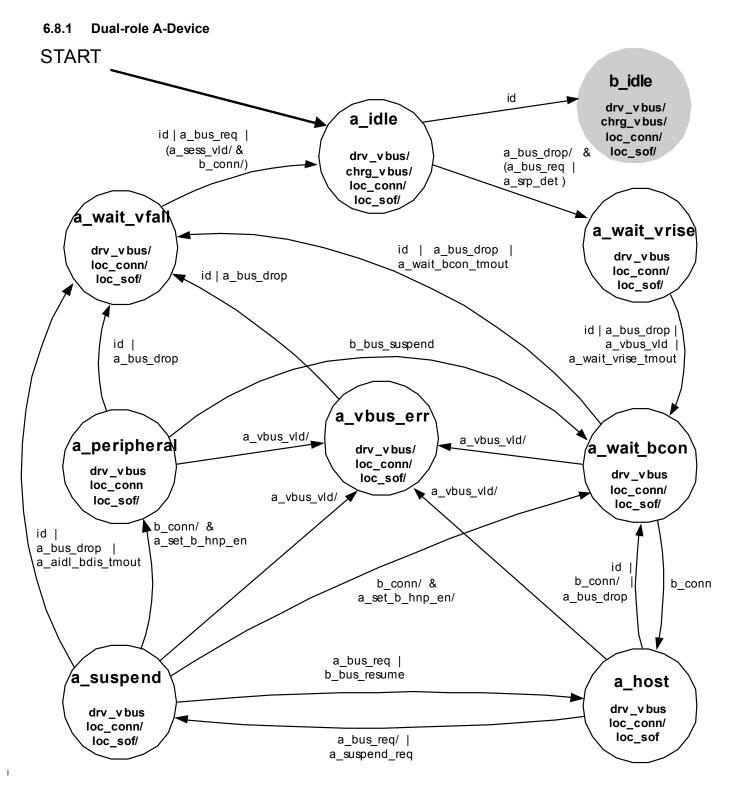


Figure 6-2. Dual-Role A-device State Diagram

The A-device state diagram shown in Figure 6-2 consists of the following eight states:

- a\_idle
- a\_wait\_vrise
- a\_wait\_bcon
- a\_host
- a\_suspend
- a\_peripheral
- a\_wait\_vfall
- a\_vbus\_err

There is a transition to the dual-role B-device start state (**b\_idle**) that occurs if the cable is disconnected. When the A-Device is in any state except the **a\_idle** state, the A-Device transitions to the **a\_wait\_vfall** state before transitioning to the **a\_idle** state and then to the **b\_idle** state.

# 6.8.1.1 a\_idle

This is the start state for A-devices.

The A-device transitions to the **a\_wait\_vrise** state:

- if the A-device application is not wanting to drop the bus (a\_bus\_drop = FALSE), and
- if the A-device Application is requesting the bus (a\_bus\_req = TRUE), or
- SRP is detected on the bus (a\_srp\_det = TRUE).

# 6.8.1.2 a\_wait\_vrise

In this state, the A-device waits for the voltage on VBUS to rise above the A-Device VBUS Valid threshold (a\_vbus\_vld = TRUE). Upon entering this state, the A-device starts a timer: a\_wait\_vrise\_tmr.

The A-device transitions to the **a\_wait\_bcon** state:

- if the voltage on VBUS has risen above the A-device VBUS Valid threshold (a\_vbus\_vld = TRUE), or
- if the *a\_wait\_vrise\_tmr* expires.

# 6.8.1.3 a\_wait\_bcon

In this state, the A-device waits for the B-device to signal a connection. Upon entering this state, the A-device starts a timer: *a\_wait\_bcon\_tmr*.

The A-device transitions to the **a\_wait\_vfall** state:

- if the cable is removed (id = TRUE), or
- if the A-device Application wants to drop the bus (a\_bus\_drop = TRUE), or
- if the *a\_wait\_bcon\_tmr* times out (a\_wait\_bcon\_tmout = TRUE).

If VBUS drops below the A-device VBUS Valid threshold (a\_vbus\_vld = FALSE), then the A-device transitions to the **a\_vbus\_err** state.

If the A-device detects that the B-device signaling a connection (b\_conn = TRUE), then the A-device transitions to the **a\_host** state. See Section 6.6.1.6 for information on b\_conn.

Note: a device may set its *a\_wait\_bcon\_tmr* to an arbitrarily large value and wait an indefinitely long period of time for the B-device to connect.

# 6.8.1.4 a\_host

Upon entering this state, the A-device resets the bus to prepare the B-device for packet traffic.

If a\_bus\_req = TRUE, then the A-device application wants to communicate with the B-device, and the A-device performs the following actions:

- A-device enumerates B-device
- if A-device supports B-device (i.e. B-device is on Targeted Peripheral List), then A-device sets up communication with B-device
- else if A-device does not support B-device then A-device outputs message to user indicating that B-device is not supported
- A-device sets a\_bus\_req = FALSE

Before exiting this state, the A-device must allow a dual-role B-device the opportunity to take control of the bus. To do this, the A-device sends a SetFeature(b\_hnp\_enable) command. The A-device may send the SetFeature(b\_hnp\_enable) command to any B-device but is only required to send the command if the B-device is HNP capable (indicated in the OTG Descriptor). If the B-device responds to the SetFeature(B\_hnp\_enable) with a STALL, then the B-device is not HNP capable. If the SetFeature command is accepted, then the A-device shall exit to the **a\_suspend** state and wait for the B-device to start the HNP. The A-device is allowed to transition to the **a\_suspend** state without setting b\_hnp\_enable, if it does not want the B-device to become Host but wants to continue to power the bus (possibly in expectation of imminent user input). If it does suspend the bus without enabling HNP, then it must at some latter time return to this state and enable HNP if the B-device is dual-role.

Under the following conditions, the A-device transitions from the **a\_host** state to the **a\_wait\_bcon** state:

- if the cable is removed (id = TRUE), or
- if the A-device wishes to stop powering VBUS (bus\_drop = TRUE), or
- if the B-device disconnects (b\_conn = FALSE).

If VBUS is or drops below the VBUS Valid value (a\_vbus\_vld = FALSE), then the A-device transitions to the **a\_vbus\_err** state.

# 6.8.1.5 a\_suspend

Upon entering the **a\_suspend** state, the A-device starts the *a\_aidl\_bdis\_tmr*. This timer can be set to an arbitrarily long time, but must be longer than 150 ms (TA\_AIDL\_BDIS min).

The A-device transitions to the **a\_wait\_vfall** state:

- if the a\_aidl\_bdis\_tmr times out (a\_aidl\_bdis\_tmout = TRUE), or
- if the A-device wishes to stop powering VBUS (bus\_drop = TRUE) , or
- if the the cable is removed (id = TRUE).

If the B-device signals a disconnect (b\_conn = FALSE), and the A-device was successful in setting b\_hnp\_enable (a\_set\_b\_hnp\_en = TRUE), then the A-device transitions to the **a\_peripheral** state.

The A-device transitions to the **a\_host** state if either a\_bus\_req is asserted, or if the B-device signals a resume by putting a K state on the bus. If a\_bus\_req is asserted, then the A-device can either do a

resume by putting a K state on the bus, or it can do a bus reset by outputting SE0 for longer than 10ms (TB\_ASE0\_BRST max).

If the B-device signals a disconnect (b\_conn = FALSE), and the A-device did not set b\_hnp\_enable (a\_set\_b\_hnp\_en = FALSE), then the A-device transitions to the **a\_wait\_bcon** state.

If VBUS is or drops below the A-device VBUS Valid value (a\_vbus\_vld = FALSE), then the A-device transitions to the **a\_vbus\_err** state.

### 6.8.1.6 a\_peripheral

In this state, the A-device is signals a connection to the B-device (loc conn = TRUE) and responds to requests from the dual-role B-device. If the A-device is capable of HS operation, then it shall begin the high-speed detection handshake whenever a bus reset is detected.

The A-device transitions from the **a\_peripheral** state to the **a\_wait\_vfall** state:

- if the cable is removed (id = TRUE), or
- if the A-device is no longer capable of powering VBUS (bus\_drop = TRUE).

If the A-device detects more than 3 ms (TA\_BIDL\_ADIS min) of continuous idle (i.e. J\_state for full-speed or SE0 for high-speed), on the bus, then the A-device may transition to the **a\_wait\_bcon** state. If no activity is detected after 200 ms (TA\_BIDL\_ADIS max) the A-device must transition back to the **a\_wait\_bcon** state.

If VBUS is or drops below the A-device VBUS Valid threshold (a\_vbus\_vld = FALSE), then the A-device transitions to the **a\_vbus\_err** state.

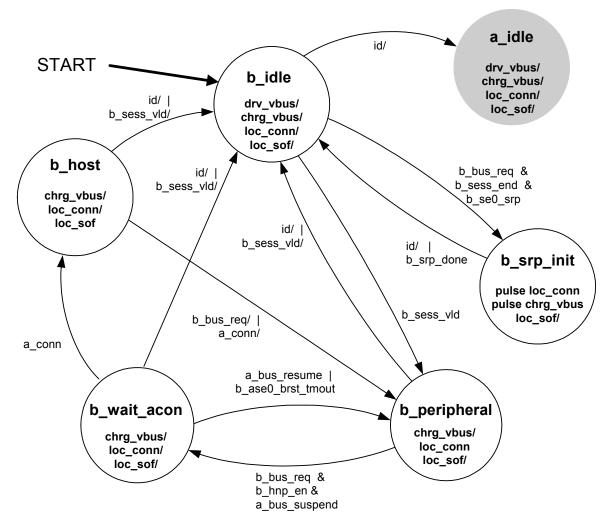
This is the only state in which the A-device will signal a connection t he B-device.

# 6.8.1.7 a\_wait\_vfall

In this state, the A-device waits for the voltage on VBUS to drop below the A-device Session Valid threshold (a\_sess\_vld = FALSE). Once the VBUS voltage has dropped below this threshold, then the A-device transitions to the **a\_idle** state. If the A-device is in any state other than **a\_idle**, ID going TRUE or a\_bus\_drop going TRUE will cause a transition to this state.

#### 6.8.1.8 a\_vbus\_err

In this state, the A-device waits for recovery of the overcurrent condition that caused it to enter this state. Upon assertion of a\_clr\_err (nominally by system software), the A-device transitions to the **a\_wait\_vfall** state.



#### 6.8.2 Dual-Role B-Device

# Figure 6-3. Dual-Role B-device State Diagram

The dual-role B-device state diagram consists of five distinct states:

- b\_idle
- b\_srp\_init
- b\_peripheral
- b\_wait\_acon
- b\_host

# 6.8.2.1 b\_idle

In this state, the dual-role B-device waits for a session to start by monitoring if VBUS rises above the B-Device Session Valid threshold (b\_sess\_vld = TRUE). If VBUS rises above this threshold, the dual-role Bdevice enters the **b\_peripheral** state and signals a connect (loc\_conn = TRUE). The B-device transitions from the **b\_idle** to the **b\_srp\_init** state:

- if the Application indicates that it wants to start a session (b\_bus\_req = TRUE), and
- if VBUS is below the B-device Session End threshold (b\_sess\_end = TRUE), and
- if the bus has been in the SE0 state for at least 2 ms (TB\_SE0\_SRP min).

If the Mini-A plug is inserted (id = FALSE), then the dual-role B-device transitions to the **a\_idle** state and becomes an A-device.

# 6.8.2.2 b\_srp\_init

Upon entering this state, the dual-role B-device attempts to initiate a session via SRP. Upon completion, the dual-role B-device returns to the **b\_idle** state to wait for the A-device to drive VBUS above the B-Device Session Valid threshold (b\_sess\_vld = TRUE).

The B-device is required to complete its SRP activities in less than 100 ms (TB\_SRP\_INIT max). On entry to this state, the B-device shall provide an indication to the user that it is trying to establish communications with the A-device and a timer is started. This timer will continue to run until the B-device enters the **b\_peripheral** state. If the timer reaches a vendor specific limit between 5 and 30 seconds (TB\_SRP\_FAIL) the B-device will indicate to the user that the A-device did not respond.

# 6.8.2.3 b\_peripheral

In this state, the dual-role B-device acts as the peripheral, and responds to requests from the A-device.

If the dual-role B-device wishes to assume the role of Host (b\_bus\_req = TRUE) and the A-device has granted the dual-role B-device permission (b\_hnp\_enable = TRUE) and the bus is in the Suspend state, then the dual-role B-device transitions to the **b\_wait\_acon** state.

If a Mini-A plug is inserted (id = FALSE) or if VBUS drops below the B-device Session Valid threshold (b\_sess\_vld = FALSE), then the dual-role B-device transitions to the **b\_idle** state.

When a high-speed capable be device enters this state it shall enable its pull-up on D+. After the Bdevice enables its pull-up, it must monitor the state of the bus to determine if a bus reset is being signaled by the A-device. If the pull-up is turned on for TWTRSTHS (Table 7-14 in USB 2.0 specification) and the bus is in the SE0 state then a reset condition exists. (Note: The TWRTSTHS is a sample point that occurs at a device dependent time after the pull-up resistor is turned on.) If the B-device is capable of HS, it shall begin the high-speed detection handshake any time that a bus reset condition exists.

# 6.8.2.4 b\_wait\_acon

In this state, the dual-role B-device has received a SetFeature(b\_hnp\_enable) giving it permission to assume the role of Host and it has detected that the bus has gone to the Suspend state. Upon entering this state, the dual-role B-device turns off its pull-up resistor on D+, starts a timer (*b\_ase0\_brst\_tmr*), and waits for the A-device to signal a connect.

The dual-role B-device returns to the **b\_peripheral** state:

- if the *b\_ase0\_brst\_tmr* expires (b\_ase0\_brst\_tmout = TRUE), or
- if the B-device detects a K\_state on the bus, indicating that the A-device is signaling a resume (a\_bus\_resume = TRUE)

If the A-device signals a connect (a\_conn = TRUE) before the *b\_ase0\_brst\_tmr* expires, then the B-device transitions to the **b\_host** state. The B-device shall be able to detect the connect from the A-

device and transition to the **b\_host** state within 1 ms (TB\_ACON\_BSE0 max) after D+ is detected to be high at the B-device.

If a Mini-A plug is inserted (id = FALSE) or VBUS drops below the session valid threshold (b\_sess\_vld = FALSE), then the dual-role B-device transitions to the **b\_idle** state.

# 6.8.2.5 b\_host

Upon entering this state, the B-device issues a bus reset, and starts generating SOF's. The B-device may query the A-device for its descriptors.

While the B-device is in this state, the A-device responds to requests from the B-device. If the B-device does not support the A-device, then the B-device shall provide a message to the user informing them of this.

Once the B-device has completed its usage of the A-device (b\_bus\_req = FALSE), or if the B-device detects that the A-device has signaled a disconnect (a\_conn = FALSE), then the B-device stops generating bus activity (loc\_sof = FALSE) and transitions to the **b\_peripheral** state.

If a Mini-A plug is inserted (id = FALSE) or VBUS drops below the B-device Session Valid threshold (b\_sess\_vld = FALSE), then the dual-role B-device transitions to the **b\_idle** state.

# 6.8.3 Peripheral-Only, B-Device

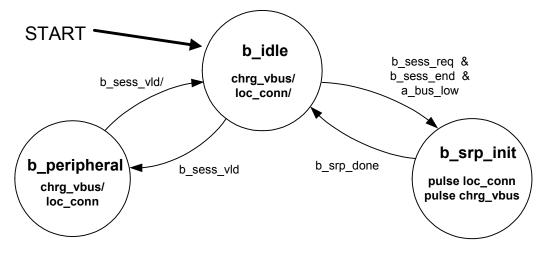


Figure 6-4. SRP Capable Peripheral-Only B-device State Diagram

The state diagram is only relevant for SRP capable Peripheral-only B-device. It consists of three distinct states:

- b\_idle
- b\_srp\_init
- b\_peripheral

#### 6.8.3.1 b\_idle

In this state, the Peripheral-only B-device waits for a session to start by monitoring if VBUS rises above the B-device VBUS Session Threshold (VB\_SESS\_VLD). If VBUS rises above this threshold, the Peripheral-only B-device enters the **b\_peripheral** state.

The B-device transitions from the **b\_idle** state to the **b\_srp\_init** state:

- if the Application indicates that it wants to start a session (bus\_req = TRUE), and
- if VBUS is below the B-device Session End threshold (b\_sess\_end = TRUE), and
- if the bus has been in the SE0 state for at least 2 ms (TB\_SE0\_SRP min).

# 6.8.3.2 b\_srp\_init

Upon entering this state, the device attempts to start a session using the SRP defined in Section 5.3. Upon completion, the device returns to the **b\_idle** state.

# 6.8.3.3 b\_peripheral

In this state, the B-device acts as a normal, USB 2.0 compliant device.

If VBUS drops below the B-device Session Valid threshold (b\_sess\_vld = FALSE), then the device transitions to the **b\_idle** state.